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## Data compression and archiving software implementation and their algorithm comparison

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# NAVAL POSTGRADUATE SCHOOL Monterey, California



### THESIS 14633

DATA COMPRESSION AND ARCHIVING SOFTWARE IMPLEMENTATION AND THEIR ALGORITHM COMPARISON

by

Young Je Jung

March, 1992

Thesis Advisor:

Chyan Yang

Approved for public release; distribution is unlimited



**DD FORM 1473, 84 MAR** 

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SECURITY CLASSIFICATION OF THIS PAGE

Unclassified

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Data Compression and Archiving Software Implementation and
Their Algorithm Comparison

by

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Captain, Korean Army
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Submitted in partial fulfillment of the requirements for the degree of

#### MASTER OF SCIENCE IN ELECTRICAL ENGINEERING

from the

NAVAL POSTGRADUATE SCHOOL March 1992

#### **ABSTRACT**

Although data compression has been studied for over 30 years, many new techniques are still evolving. There is considerable software available that incorporates compression schemes and archiving techniques. The U.S. Navy is interested in knowing the performance of this software. This thesis studies and compares the software. The testing files consist of the file types specified by the U.S. Naval Security Detachment at Pensacola, Florida.

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#### ACKNOWLEDGEMENT

I'd like to express my appreciation to the Army of the Republic of Korea which has given me a great opportunity for graduate studies and physical support.

I wish to thank my thesis advisor, Professor Chyan Yang, for his sincere help and effort to improve my thesis, and to my second reader, Professor Glen Myers, for his encouragement to study hard.

I also appreciate Lt.Col. Tsai who has given me a lot of advice and help. I should not omit Hal Lasell, who helped me obtain software packages and experimental files.

Finally, I give thanks to my parents, my wife's parents, my lovely wife, Sung Mi, and my heart, Eunjoo(Grace) who was born during my graduate studies.

Thank God.



#### I. INTRODUCTION

In file management, one may use data compression and archiving for cost reduction in data storage and transmission. In other words, the collection and analysis of data can reap benefits from compression. There are numerous kinds of data compression and archiving schemes. Popular software for data compression are StacPack, ARC, BTLZ, PKZIP, Splay, SHRINK, PKLTE, ARJ, LHA, PAK, ZOO, PKPAK, and [Ref.3,6,7,8,12,13,14,15,16,17,22,23]. Some of these solely for executable files while others are good for binary graphic files. Additionally, each software may have its own set of operating environment and performance edge. No two are identical. The Naval Security Group Detachment in Pensacola, Florida expressed its interest in evaluating public available compression software [Ref.24]. It is therefore interesting and desirable to compare the performance of each software in the Naval operating environment.

In this thesis, 3 methods for compression, and 4 methods for compression with archiving are chosen for comparing. The PKZIP package is examined for both compression and compression with archiving. This thesis focuses on reversible data compression: the original file can be completely recovered from the compressed file.

The benefits of data compression are many. First, hardware costs can be cut back because of the reduced capacity requirement for disk drive units. Second, given a fixed amount of disk space, more data can be kept online. the speed of effective data transfer can be increased while reducing costs when copying files to disks or tapes, sending data over communications equipment, and shipping data recorded on disks or tapes. Fourth, the amount of media (e.g. tapes) to archive the data offline can be reduced. Last, as a result of the compression process, compressed files are encrypted; therefore, they automatically acquire greater protection from unauthorized access [Ref.13]. The trade-off for the benefits is mainly in execution time. The more effective compression algorithms generally need more CPU overhead than the less effective ones [Ref.13]. The result of experiments conducted in this thesis shows that a good archiving program generally results in good performance in data compression.

This thesis is organized as follows. Chapter II discusses the generic compression algorithms while Chapter III examines the algorithms used in each software package. The main effort of data compilation and analysis are presented in Chapter IV. Concluding remarks can be found in Chapter V.

#### II. GENERIC COMPRESSION ALGORITHMS

In this chapter, several algorithms for data compression are introduced. These algorithms are already employed in commercial software. The compression ratios and archiving effectiveness of these commercial software packages will be compared and analyzed in Chapter IV.

#### A. INTRODUCTION TO DATA COMPRESSION

Data compression is often referred to as source coding. Information theory is defined as the study of efficient coding and its consequences in the form of speed of transmission and probability of error. Data compression may be viewed as a branch of information theory in which the primary objective is to minimize the amount of data to be transmitted [Ref. 10].

With most file types, some recurring patterns of bytes or words (redundancy) can be found. This effect can be optimized in a compressed file with symbols which indicate to the decompression program the particular pattern to restore at that location. The simplest and most common pattern, regardless of file type, is a string of repeating single characters or binary words. Most often these are strings of blanks which occur between words, statements, and paragraphs in text files. Other forms of redundancy tend to be more file-type specific. COBOL source code, for example, is

partially composed with a known set of reserved words which occur with great frequency within each program.

Once all the redundancies have been detected, the encoding algorithms, static or dynamic, can be used to code these redundancies. There always remains a core of information which cannot be compressed further. A compressed file contains the information which distinguishes it from any other file. At this point, the file can not be further reduced without some loss of information.

Most compression algorithms use a start-to-finish operation, that is, the entire file must be processed as a single unit. The entire file must be decompressed in order to access it. This scheme renders the use of data compression with production files inconvenient. An additional drawback to compressing information might be that compressed files are more susceptible to corruption. Particularly with start-to-finish algorithms, decompression requires a precise sequence of operations, which is exactly the reverse of the compression sequence. If this sequence is disrupted by a few corrupted bits on the storage media, it is possible to lose the remainder of the file. However, the reliability of current storage hardware makes this risk rather small [Ref.13].

No single technique described in the following section is the best in all situations. Typically, a sophisticated compression product will combine several of the following methods as well as other techniques in the effort to extract every last unnecessary bit out of a compressed file.

#### B. STATIC HUFFMAN CODING

The main idea behind Huffman coding is based on the frequency of occurrence of a symbol in the text. Symbol is defined as a particular sequence of bits. The most frequently used symbols are assigned a shorter binary pattern and less frequently symbols are assigned a longer pattern.

A static method is one in which the mapping from the set of codewords is fixed before transmission begins so that a given message is represented by the same codeword every time it appears in the message ensemble [Ref.10].

Huffman's algorithm, expressed graphically, takes as input a list of nonnegative weights  $\{w_1, \ldots, w_n\}$  and constructs a full binary tree - a binary tree is full if every node has either zero or two branches - whose leaves are labeled with the weights. When the Huffman algorithm is used to construct a code, the weights represent the probabilities associated with the source letters. Initially, there is a set of singleton trees, one for each weight in the list. At each step in the algorithm the trees corresponding to the two smallest weights,  $w_i$  and  $w_j$ , are merged into a new tree whose weight is  $w_i + w_j$  and whose root has two branches that are the subtrees represented by  $w_i$  and  $w_j$ . The weights  $w_i$  and  $w_j$  are removed from the list, and  $w_i + w_i$  is inserted into the list. This

process continues until the weight list contains a single value. If, at any time, there is more than one way to choose a smallest pair of weights, any such pair may be chosen. In Huffman's paper the process begins with a nonincreasing list of weights. This detail is not important to the correctness of the algorithm, but it does provide a more efficient implementation. The Huffman algorithm is demonstrated in Figure 1 and Figure 2 [Ref.10].

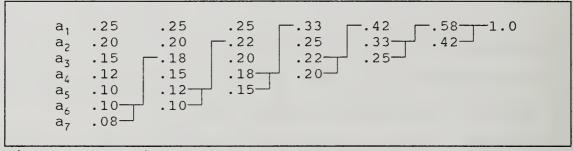


Fig. 1. The List of Huffman Process.

The Huffman algorithm determines the lengths of the codeword to be mapped to each of the source letters  $a_i$ . There are many ways for specifying the actual bits; it is necessary only that the code have the prefix property. The usual assignment entails labeling the edge from each tree to its left branch with the bit 0 and the edge to the right branch with 1. The codewords for each source letter are the sequence of labels along the path from the root to the leaf node representing that letter. The codewords that can be generated from Figure 2, in order of decreasing probability, are {01, 11, 001, 100, 101, 0000, 0001}. Clearly, this process yields

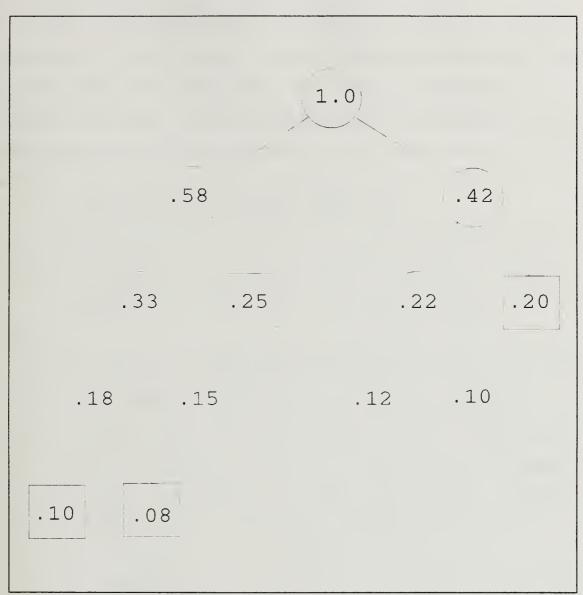


Fig. 2. The Tree of The Huffman Process.

a minimal prefix code. Furthermore, the algorithm is guaranteed to produce an optimal (minimum redundancy) code. Gallager has proved an upper bound on the redundancy of a Huffman code equal  $P_n$  + log[(2 log e)/e]  $\approx P_n$  + 0.086, where  $P_n$  is the probability of the least likely source message [Ref.10]. Figure 3 shows the distribution for which the Huffman code is optimal.

In addition to the fact that there are many ways of forming codewords of appropriate lengths, there are cases in which the Huffman algorithm does not uniquely determine these lengths owing to the arbitrary choice among equal minimum weights. For example, codes with codeword lengths of  $\{1,2,3,4,4\}$  and  $\{2,2,2,3,3\}$  both yield the same average codeword length for a source with probabilities  $\{.4, .2, .2, .1, .1\}$ . Schwartz defines a variation of the Huffman algorithm that performs "bottom merging", that is, that orders a new parent node above existing nodes of the same weight and always merges the last two weights in the list. The code constructed is the Huffman code with minimum values of maximum codeword length  $(\max\{1_i\})$  and total codeword length  $(\Sigma 1_i)$ . Schwartz and Kallick describe an implementation of Huffman's

a <sub>1</sub>	0.35	1	
a <sub>2</sub>	0.17	011	
a <sub>3</sub>	0.17	010	
a <sub>4</sub>	0.16	001	
a <sub>5</sub>	0.15	000	
Avera	ge codeword length	2.30	

Fig. 3. Distribution of Huffman Code.

algorithm with bottom merging. The Schwartz-Kallick algorithm and a later algorithm by <u>Connell</u> use Huffman's procedure to determine the lengths of the codewords, and actual digits are assigned so that the code has the numerical sequence property;

that is , codewords of equal length form a consecutive sequence of binary numbers. Shannon-Fano codes also have the numerical sequence property. This property can be exploited to achieve a compact representation of the code and rapid encoding and decoding [Ref.10].

#### C. LZ77 OPM/L TEXT COMPRESSION TECHNIQUE

Lempel-Ziv coding represents a departure from the classic view of a code as a mapping from a fixed set of source messages(letters, symbols, or words) to a fixed set of codewords.

One of the popular data-compression algorithms, suggested by Ziv and Lempel is the OPM/L (Original Pointer Macro restricted to Left Pointers), LZ77 [Ref.2]. OPM/L uses sliding-window dictionary (SWD), a variation of the Lempel-Ziv-Welch (LZW) algorithm. The basic idea behind SWD is simple: substrings of the input stream are stored in a dictionary. Each dictionary entry is assigned a value. Then, if a later section of the input stream is found within the dictionary, the value of this dictionary entry is substituted in place of the longer original data.

The OPM/L scheme replaces a substring in a text with a pointer to a previous (left) occurrence of the substring in the text. The pointer represents the position and size of the substring in the original text. These restrictions make fast single-pass decoding straightforward [Ref.2].

The LZ77 scheme restricts the reach of the pointer to approximately the previous N characters, effectively creating a "window" of N characters which is used as a sliding dictionary. Pointers are chosen using a "greedy" algorithm which permits single-pass encoding [Ref.2]. Following are advantages of using window:

- 1) The amount of memory required for encoding and decoding is bounded by the size of the window, and is typically no more than 8 kbytes;
- 2) For many types of text, and for sufficiently large N, the window is a good dictionary for the substring which follows, because it will usually contain the same language, style, and topic; and
- 3) All pointers can have fixed size fields.

An LZ77 encoder is parameterized by N, the size of the "window", and F, the maximum length of a substring that may be replaced by a pointer. Encoding of the input string proceeds from left to right. At each step of the encoding, a section of the input text is available in a window of N characters. Of these, the first N-F characters have already been encoded and the last F characters are the "lookahead buffer" [Ref.2].

For example [Ref.2], if the string s = abcabcabcabcabcabc...

is being encoded with the parameters N=11 and F=4 and character 12 is to be encoded next, the window is shown as Figure 4.

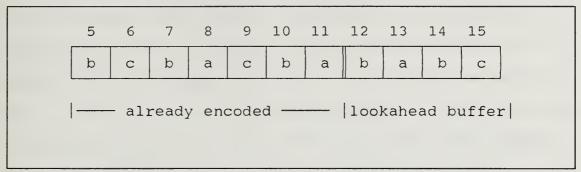


Fig. 4. LZ77 Encoding String Window.

Initially the first N - F characters of the window are (arbitrary) blanks, and the first F characters of the text are loaded into the lookahead buffer.

The already encoded part of the window is searched to find the longest match for the lookahead buffer. The match may overlap with the lookahead buffer, but obviously cannot be the lookahead buffer itself. In the example, the longest match for the "babc" is "bab", which starts at character 10.

The longest match is then coded into a triple  $\langle i,j,a \rangle$ , where i is the offset of the longest match from the lookahead buffer, j is the length of the match, and a is the first character which did not match the substring in the window. In the example, the output triple would be  $\langle 2,3,'c' \rangle$ . The window is then shifted right j+1 characters, ready for another coding step.

A window of moderate size, typically  $N \le 8192$ , can work well for a variety of texts for the following reasons:

- 1) Common words and fragments of words occur regularly enough in a text to appear more than once in a window. For example, in English "the," "of," "pre-," "-ing,"; source program keywords "while," "if," "then."
- 2) Specialist words tend to occur in clusters. For example, a paragraph on a technical topic, or local identifiers in a procedure of a source program.
- 3) Less common words may be made up of fragments of common words.
- 4) Runs of characters are coded compactly. For example, k blanks may be coded recursively as <?, ?, ' '> <1, k-1, ?>. The amount of memory required for encoding and decoding is limited to the size of the window. The offset (i) in a triple can be represented in  $[\log_2 (N-F)]$  bits, and the number of characters (j) covered by the triple in  $[\log_2 F]$  bits. The time taken at each step is bounded to N-F substring comparisons, which is constant, so the time used for encoding is O(n) for a text of size n [Ref.2].

Decoding is very simple and fast. The decoder maintains a window in the same way as the encoder but, instead of searching for a match in the window, it copies the match from the window using the triple given by the encoder [Ref 2].

The main disadvantage of LZ77 is that, although the encoding step requires O(1) time, a straightforward implementation can require up to (N - F)\*F character comparisons, typically on the order of several thousands. LZ77 is therefore best for the situation where a file is to be encoded once (preferably on a fast computer) and decoded many times, possibly on a small machine [Ref.2].

LZSS, a slightly modified version of LZ77 which improves the compression ratios for a wide range of text was developed by <u>Storer</u> and <u>Szymanski</u>. It offers very fast decoding but requires comparatively little memory for coding and decoding [Ref.18].

Storer and Szymanski presented a general mode for data compression that encompasses Lempel-Ziv coding. Their broad theoretical work compares classes of 'macro schemes', where macro schemes include all methods that factor out duplicate occurrences of data and replace them by references either to the source ensemble or to a code table. They also contribute a linear-time Lempel-Ziv-like algorithm with better performance than the standard Lempel-Ziv method [Ref.10].

#### D. ARITHMETIC CODING

At present, most of the commonly used data compression methods fall into one of two categories: dictionary-based schemes or statistical methods. In the world of small systems, dictionary-based data compression techniques seem to

be more popular. However, by combining arithmetic coding with powerful modeling techniques, statistical methods for data compression are actually able to achieve better performance [Ref.10].

The method of arithmetic coding was suggested by <u>Elias</u> and presented by <u>Abramson</u> [Ref.10] in his text on information theory. Implementations of Elias' technique were developed by <u>Risssanen</u>, <u>Pasco</u>, <u>Rubin</u>, and, most recently, <u>Written</u> et al.

Arithmetic coding is based on the idea that each symbol is not coded independently one after another as in a Huffman code, but coded as a portion of the real interval between 0 and 1. Each symbol of the ensemble narrows this interval. As the interval becomes smaller, the number of bits needed to specify it grows. Arithmetic coding assumes an explicit probabilistic model of the source. It is a defined-word scheme that uses the probabilities of the source messages to successively narrow the interval used to represent the ensemble. A high-probability message narrows the interval less(faster) than a low-probability messages, and contributes fewer bits to the coded message. The method begins with an unordered list of source messages and their probabilities. The number line is partitioned into subintervals on the basis of cumulative probabilities.

It is instructive to see an example [Ref.10]. Given source messages  $\{A,B,C,D,\#\}$  with probabilities  $\{.2,.4,.1,.2,.1\}$ , Table I shows the initial partitioning of the number line [0,1]

1]. The symbol A corresponds to the first 1/5 of the interval [0,1), B is the next 2/5, and D is the subinterval of size 1/5 which begins at 70% of the interval from the left endpoint.

Table I The arithmetic coding model

Source message	Prob.	Cumul.Prob.	Range
A	. 2	. 2	[0,.2)
В	. 4	. 6	[.2,.6)
С	. 1	.7	[.6,.7)
D	. 2	.9	[.7,.9)
#	.1	1.0	[.9,1.0)

When encoding begins, the source ensemble is represented by the entire interval [0,1). For the ensemble AADB#, the first A reduces the interval to [0,.2) and the second A to [0,.04) (the first 1/5 of the previous interval or  $0.2\times0.2[0,.2]$ ). D further narrows the interval to [.028, .036) (1/5 of the previous size, beginning 70% of the distance from left to right or  $0.2\times0.2\times[0.7, 0.9]$ ). B narrows the interval to [.0296, .0328) (2/5 of the previous size, [.028, .036], beginning 20% and ending 60% of the distance from left to right, [.028+.0016, .028+.0048]) and the # yields a final interval of [.03248, .0328). The interval, or alternatively any number I within the interval, may now be used to represent the source ensemble.

Two equations may be used to define the narrowing process described above:

$$newleft = prevleft + msgleft \times prevsize$$
 (1)

$$newsize = prevsize \times msgsize$$
 (2)

Equation (1) states that the left endpoint of the new interval is calculated from the previous interval and the current source message. The left endpoint of the range associated with the current message specifies what percent of the previous interval to remove from the left in order to form the new interval. For character D in the above example (AADB#), the new left endpoint is moved by  $.7 \times .04$  (70% of the size of the previous interval). Equation (2) computes the size of the new interval from the previous interval size and the probability of the current message (which is equivalent to the size of its associated range). Thus, the size of the interval determined by D is  $.04 \times .2$ , and the right endpoint is .028 + .008 = .036 (left endpoint + size).

The size of the final subinterval determines the number of bits needed to specify a number in that range. The number of bits needed to specify a subinterval of (0, 1) of size s is:

$$k = -\log_2 s$$

Since the size of the final subinterval is the product of the probabilities of the source messages in the ensemble:

$$s = \prod_{i=1}^{N} P(source message i)$$

N: length of the ensemble we have:

$$-\log_2 s = -\sum_{i=1}^N \log_2 P(source message i)$$
$$= -\sum_{i=1}^N P(a_i) \log_2 P(a_i)$$

n: number of unique source messages  $a_1, a_2, \ldots, a_n$ Thus, the number of bits generated by the arithmetic coding technique is exactly equal to the entropy. This demonstrates the fact that arithmetic coding achieves compression which is almost exactly that predicted by the entropy of the source.

In order to recover the original ensemble, the decoder must know the mode of the source used by the encoder (e.g., the source messages and associated ranges) and a single number within the interval determined by the encoder. Decoding consists of a series of comparisons of the number i to the ranges representing the source messages. For the example of AADB#, i might be .0325 or a number in [.03248, .0328]. The decoder uses i to simulate the actions of the encoder. Since i lies between 0 and .2, the decoder deduces that the first letter was A (since the range is [0,.2]). The decoder can now deduce that the next message will further narrow the interval in one of the following ways: to [0,.04) for C, to [.14,.18) for D, or to [0,.04); the decoder knows that the second

message is again A. This process continues until the entire ensemble has been recovered [Ref.10].

#### E. SHANNON-FANO CODING

As one of the optimum source coding scheme with Huffman code, Shannon-Fano code is known for its reasonable efficiency with instantaneous decodability. Shannon-Fano coding is a variable length coding process. Before one decides the code for each character, one has to determine the probability of the occurrence of each character and then arrange the source message in descending order, which is based on the probability of occurrence of each character. Once it is done, the character set(source message) must be divided into two subsets of equal, or almost equal, probability. The first

Table II Shannon-Fano Coding

Charac	c. Prob.	Desc	end	ing Pr	ob.		Cod	е
C <sub>1</sub>	0.10	C <sub>7</sub>	<b>→</b>	0.25	1	1		
C <sub>2</sub>	0.05	C <sub>3</sub>	<b>→</b>	0.20	1	0		step 1
C <sub>3</sub>	0.20	C <sub>6</sub>	<b>→</b>	0.15	0	1	1	
C <sub>4</sub>	0.10	С,	<b>→</b>	0.10	0	1	0	step 2
C <sub>5</sub>	0.05	C,	<b>→</b>	0.10	0	0	1	step 3
C <sub>6</sub>	0.15	C <sub>8</sub>	<b>→</b>	0.10	0	0	0   1	step 4
C <sub>7</sub>	0.25	C <sub>2</sub>	<b>→</b>	0.05	0	0	0   0	1
C <sub>R</sub>	0.10	C <sub>5</sub>	<b>-</b>	0.05	0	0	0   0	0

digit in one subset is assigned a binary 0 value while a binary 1 is assigned as the first digit in the second subset. This process of forming subsets is continued until the character set is completely subdivided. Finally, a suffix bit is added to each character in a two-character subset as required to distinguish one character's binary composition from the other character in the subset [Ref.10].

To help understand Shannon-Fano coding, consider the following example [Ref.9: p.107-109]. It is assumed the character set contains 8 characters with the probabilities given in Table II.

The third column of Table II is the character set arranged in descending order based upon the probabilities. To form the

Table III An Example of a Completed Shannon-Fano Code

Character	Probability			Co	ode			
C <sub>7</sub>	0.25	1	1					
C <sub>3</sub>	0.20	1	0					
C <sub>6</sub>	0.15	0		1	1			
C <sub>1</sub>	0.10	0		1	0			
C <sub>4</sub>	0.10	0		0		1		
C <sub>R</sub>	0.10	0		0		0	1	
C <sub>2</sub>	0.05	0		0		0	0	1
C <sub>5</sub>	0.05	0		0		0	0	0

subsets, we have to group the characters in them so that they are equal or as nearly equal as possible. We next assign

binary 1's to one subset and binary 0's to the other subset and continue the process until all possible subsets are constructed. The fifth column of Table II shows the process [Ref.9].

#### F. LZW CODING

This is one of the modified version of Lempel-Ziv, which involves the way in which the string table is stored and accessed [Ref.10].

Welch described the implementation of this algorithm known as the LZW algorithm. It has the advantage of being adaptive. That is, the algorithm does not assume any advance knowledge of the properties of the input and builds the dictionary used for compression only on the basis of the input as it is read. This property is especially important in compression for communication. This method contrasts compression algorithms which are based on advance knowledge of the properties of the input, e.g. Huffman algorithm [Ref.19].

The LZW algorithm starts with a dictionary containing entries for each character in the alphabet. The algorithm scans the input matching it with entries in the dictionary. The matching is finished, such that  $Y = X \cdot a$ , where X is a string already in the dictionary, "a" is a character and "." denotes the concatenation operation. The compression algorithm then sends the code for X (an index into the dictionary table) and inserts Y into the dictionary. The string Y is called a

character extension of X. The encoding of the input continues from the character "a" that follows X. Meanwhile, the decoder builds an identical dictionary to the one built by the encoder [Ref.19].

The entries for the LZW dictionary satisfy the two properties: 1) If a string X is in the dictionary then every prefix of X is also in the dictionary. 2) For every code sent by the encoder, a new entry is added to the dictionary. Since the dictionary size is finite and may be limited for practical reasons, the dictionary may fill up fast. The LZW algorithm then continues by encoding according to the existing dictionary without adding new entries to it. Experiments show that after a certain time, a significant decline in the compression ratio may be observed. This decline is typically due to a change in the properties of the text so that the dictionary is no longer appropriate. At this point the LZW algorithm forgets the old dictionary and starts from scratch, usually obtaining again a higher compression ratio [Ref.19].

It is helpful to look at the representation of the dictionary as an ordered labeled rooted tree. Each edge emanating from a vertex is labeled by a character of the alphabet. A vertex represents the string obtained by concatenation of all the characters along the path from the root to the vertex. Thus all vertices on the path from the root to a vertex representing a string X of the dictionary represent prefixes of X and their corresponding strings are

also in the dictionary. Using this tree representation, if the string of a vertex is deleted then the strings of all its descendants must also be deleted. Note that when the dictionary is full, the degree of a vertex is equal to the number of times the corresponding entry was sent. Hence a leaf represents an entry which was inserted into the dictionary but was never sent. Depending on the nature of the text and size of the dictionary, a commercial program called COMPRESS written in 'C' language and based on the LZW algorithm yields compression ratios of up to 60%. The "compression ratio" is defined as the difference between the number of characters in the original text and the compressed text divided by the number of characters in the original text.

The dictionary constructed by the LZW algorithm contains variable length strings of consecutive characters from the text. Compression is obtained due to the replacement of the text strings by the index to the corresponding dictionary entry. For example if the dictionary size is 2<sup>10</sup>, it can encode any string in the dictionary using just 10 bits [Ref. 19].

### III. COMMERCIAL OR PUBLIC ALGORITHMS

#### A. AN OVERVIEW OF COMPRESSION SOFTWARE

As MS-DOS became the dominant operating system of personal computers, data storage capacities also increased. Hard disk drives with capacities of over 40 Mbytes became commonly available. Additionally, the 1200-Kbit/second modems are now available for less than \$1000. Despite these advances in data storage and data communications, the sheer volume of data files continues to outpace the new technology's ability to provide adequate storage.

With MS-DOS, the necessity for new data compression softwares become evident. The first important application was System Enhancement Associates' (SEA) ARC, which for many years was the popular program for data compression. Like many other DOS compression programs, ARC was shareware: software distributed through the online community without charge [Ref.12].

Continually, better programs have been introduced - notably PKware's PKARC and PKZIP - and SEA's ARC lost its dominance in the field [Ref.12].

Today there are at least half a dozen MS-DOS archival/compression programs. PKZIP 1.10 may be the fastest and most efficient of these programs, though NoGate

Consulting's PAK 2.6 also offers outstanding performance. LHARC 1.13C, a popular compression program originated in Japan, [Ref.12] is almost as good as PKZIP except it runs slower than PKZIP.

Another notable program is ZOO 2.01 [Ref.12]. Using a Lempel-Ziv compression algorithm, it was developed by R. Dhesi [Ref.23]. ZOO 2.01 neither runs fast nor compresses as well as other programs; its compression ratio for text files is about 10% less than that of PKZIP. However, it has some unique advantages. Originated in Unix, it has since been ported to nearly every operating environment [Ref.12].

There are still many problems related to data compression that remain to be solved. For example, error detection and error correction are not incorporated in most software packages.

Every time one compresses a file using a package, the package will confirm whether the compressed file has lost some of its data or not. Both compressed and uncompressed files can fail because a disk has marginal sectors or because of some "accident". If the file contains executable code, there's no point in fixing it - one can simply restore it from a backup. But if the file contains data, it is often possible and worthwhile to recover the rest, even though a few bits or a sector may be missing. When a compressed file goes bad, recovery is harder. Since the file is compressed, the damage is multiplied. Naturally, the compression program should have

a decompress function; otherwise, there's no way one can recover the file back to the original format.

Table IV Comparison of Software and the Algorithm Employed

Software	Algorithm	Remarks		
	LZW	Shrink		
PKZIP	LZ77 (SW)	Reduce(v0.9)		
	LZ77 / Shannon-Fano	Implode		
StacPack		QIC		
Compress	LZW			
ARJ221A	LZ77 (SW)			
LHA213	(S)Huffman			
PAK251	Huffman/LZ77	Distill		
	LZW	Crush		

Table IV summarizes the algorithms used by each software package. The algorithm used by StacPack was not disclosed by the company.

#### B. GENERAL DESCRIPTION OF EACH SOFTWARE

### 1. PKZIP

This is one of the commercial compression techniques that is widely used and known. Version 1.1 composed by <u>P. Katz</u>, PKWARE Inc., uses a proprietary dictionary-based scheme.

One must have PKUNZIP to extract compressed and archived files. This version claims to be faster in compressing very large files and exhibits good compression efficiency.

## a. Compression Algorithm

PKZIP has 3 different kind of compression techniques: Shrinking, Reducing, and Imploding. As mentioned in Table IV, they employ several algorithms such as LZW, LZ77, and Shannon-Fano coding.

Shrinking is a Dynamic Ziv-Lempel-Welch compression algorithm with partial clearing. The initial code size is 9 bits, and the maximum code size is 13 bits. Shrinking differs from conventional Dynamic Ziv-Lempel-Welch implementations in several aspects:

- 1) The code size is controlled by the compressor, and is not automatically increased when codes larger than the current code size are created (but not necessarily used). The decompressor should not increase the code size used until the sequence 256, 1 is encountered.
- 2) When the table becomes full, total clearing is not performed. Rather, when the compressor emits the code sequence 256,2(decimal), the decompressor should clear all leaf nodes from the Ziv-Lempel tree, and continue to use the current code size. The nodes that are cleared from the Ziv-Lempel tree are then reused, with the lowest code value reused first, and the highest code value reused

last. The compressor can emit the sequence 256,2 at any time [Ref.8].

Reducing is a combination of two distinct algorithms. The first algorithm compresses repeated byte sequences, and the second algorithm takes the compressed stream from the first algorithm and applies a probabilistic compression method. The probabilistic compression stores an array of 'follower sets' S(j), for j=0 to 255, corresponding to each possible ASCII character. Each set contains between 0 and 32 characters, to be denoted as  $S(j)[0], \ldots, S(j)[m]$ , where m<32. The sets are stored at the beginning of the data area for a reduced file, in reverse order, with S(255) first, and S(0) last. The sets are encoded as  $\{ N(j), S(j)[0], ..., S(j)[N(j)-1] \}$ , where N(j) is the size of set S(j). N(j) can be 0, in which case the follower set for S(i) is empty. Each N(i) value is encoded in 6 bits, followed by N(j) eight bit character values corresponding to S(j)[0] to S(j)[N(j)-1] respectively. If N(j) is 0, then no values for S(j) are stored, and the value for N(j-1) immediately follows. Immediately after the follower sets is the compressed data stream. The compressed data stream can be interpreted for the

Imploding is actually a combination of two distinct algorithms. The first algorithm compresses repeated byte sequences using a sliding dictionary. The second algorithm is

probabilistic decompression [Ref.8].

used to compress the encoding of the sliding dictionary output, using multiple Shannon-Fano trees [Ref.8].

# b. General Format of Zipped File

When we look at the list of archived files, there are Length, Method, Size, Ratio, Date, Time, CRC-32, Attr, and Name. Those factors show the general format of PKZIP. The overall zipfile format is

[local file header + file data]...
[central directory] end of central directory record

Local file header is composed of 30 bytes of fixed factors including compression method, variable size of filename, and extra field. The structure of the central directory is 46 bytes of fixed factors including file comment length, variable size of file name, extra field, and file comment. End of central directory record consists of 22 bytes of fixed factors including end of central directory signature and variable size of zipfile comment.

The Length is the compressed size of each file. The compression method is dependent upon the characteristics of the data file. The file is stored only when it does not need compression or can not compress. The data and time are encoded in standard MS-DOS format. CRC-32 algorithm was contributed by <a href="David Schwaderer">David Schwaderer</a> and can be found in his book "C Programmers

Guide to NetBios" published by Howard W. Sams & Co. Inc. For every file put in an archive, CRC (Cyclical Redundancy Check) is calculated and is recalculated when the file is extracted. It is done due to the necessity of ensuring data integrity when archives are transmitted over communication links. The lowest bit of internal file attributes confirms whether the data file is ASCII or binary. The size of the entire .ZIP file header, including the file name, comment, and extra filed would exceed 64K in size [Ref.8].

## 2. StacPack

## a. PC Backup Program

Stac Inc. provides 'Stacker' package for compressing disk files in real time. This company also provides data compression integrated circuit chips. The core of the 'Stacker' is a compression program StacPack and a decompression program StacUnpk. This program is also licensed to vendors that are in PC backup business. The backup routines in such popular DOS programs as Norton Backup and PC Tools are built on StacPack's algorithm [Ref.12].

## b. QIC - 122

StacPack's algorithm has proven to be so successful that the Quarter-Inch Cartridge (QIC) Consortium has adopted it as a standard, known as QIC-122, for QIC tape drives. With StacPack, tape backup units, such as Colorado Memory Systems' (CMS) Jumbo 250 and Tall-grass Technologies' FS 150e, can more

than double their storage capacity. Using StacPack, low-end DC-2000 tapes, which normally hold only 40 Mbytes of data, can store up to 80 Mbytes on a single tape. File server owners can pack away 250 Mbytes on DC-2120 tapes that can otherwise manage only 120 Mbytes.

Stac's method of data compression avoids the disk-bound penalties of most DOS software, but it still slows system performance due to the stealing of clock cycles. Despite this, Stac's software speeds backups since the time lost by compressing files is more than made up by the time gained in writing smaller amounts of data to tape [Ref.12].

### 3. Compress

## a. MS-DOS Ported Compress

This is the MS-DOS ported version of UNIX 'compress', by <u>Tsai</u>, which uses adaptive Lempel-Ziv coding. The original UNIX 'compress' utility was written by <u>S. W. Thomas</u>, <u>J. Mckie</u>, <u>S. Davies</u>, <u>K. Turkowski</u>, <u>J. A. Woods</u>, and <u>J. Orost</u>[Ref.15]. COMPRESS is a *16*-bit LZW implementation in UNIX operating systems. The PC implementation that uses *16* bits takes up about *500K* of RAM [Ref.21].

## b. Modified Lempel-Ziv

'Compress' uses the modified Lempel-Ziv algorithm.

Common substrings in the file are first replaced by 9-bit codes, 257 and up. When code 512 is reached, the algorithm switches to 10-bit encoding and continues to use more bits

until the limit specified by the -b flag is reached (default 16). The bits must be between 9 and 16. The default can be changed in the source to allow 'compress' to be run on a smaller machine. After the bits limit is attained, 'compress' periodically checks the compression ratio. If the ratio is increasing, 'compress' continues to use the existing code dictionary. However, if the compression ratio decreases, 'compress' discards the table of substrings and rebuilds it from scratch. This allows the algorithm to adapt to the next block of the file. How much each file is compressed depends on the size of the input, the number of bits per code, and the distribution of common substrings [Ref.6]. Typically, text such as source code or English is reduced by 50-60% [Ref.10]. Compression is generally much better than that achieved by Huffman coding or adaptive Huffman coding, and takes less time to compute [Ref.6].

#### 4. ARJ221A

#### a. ARJ Evolution

ARJ version 2.21a is written by Robert K Jung. It uses the LZ77 brute force hashing algorithm that outperforms all other LZ77 algorithms [Ref. 14]. ARJ is influenced by the design of LHARC written by H. Yoshizaki. The early version of ARJ also adapt the idea from AR001 of H. Okumura and some portion of ARJ is derived from AR source code [Ref.14].

## b. General Feature of ARJ

arJ is prototyped in ANSI C and only uses ANSI C standard libraries. The MS-DOS production of ARJ has functions of compression, extraction, CRC, and output routines (in assembler). For compressing, ARJ requires approximately 282 kbytes plus the memory necessary to store all of the path names to be archived when using the default compression method. For extracting, ARJ requires approximately 166 kbytes plus. There is no limitation on the number of files that can be stored in one archive. Examining the options of ARJ, one may find 4 methods. Different methods come from the emphasis among compression ratio and execution speed.

The default input is a binary mode but one may set the option to input text files for slightly better size reduction. If one use the 'text' mode for non-text files, ARJ will prematurely stop input if it finds an embedded EOF character (CTRL Z). This may produce a loss of data on binary files. The file type "text" is only needed for future cross platform transfers of ARJ archives. It enables ARJ to extract text files to the host file system with the text new line sequence that is correct for that operating system. This mode may produce slightly better size reduction, but extraction of files compressed in text mode is significantly slower than the extraction of binary files. In looking for 8-bit non-text data, ARJ will look at the first 4096 bytes of the input file. If ARJ finds any 8-bit data, it will automatically backtrack

and switch to binary mode for that particular file. In addition, at the end of compressing the input file, if ARJ finds that the input file size is not greater than 75 percent of the binary file size (size on disk), ARJ will report an error for that input file and increment the error count. This helps avoid the problem of accidentally compressing executable files with the text mode which results in lost data. The original file size reported by the "l" and "v" commands is the actual number of bytes inputted during text mode compression. This is usually the MS-DOS file size minus the number of carriage returns in the file since C text mode strips a file of carriage returns [Ref.14].

ARJ provides the capability of multiple volume archives. In other words, it can archive files directly to diskettes no matter how large or how numerous the input files are. It is possible to archive a 10 megabyte file to several diskettes and to recover the file directly from the diskettes. Other archivers, however, require that one compress the large file to hard disk or large RAM drive and then slice the compressed file to fit on diskettes. Recovering the original files involves reassembling the compressed file on the hard disk from the diskettes and then extracting the original files from the reassembled compressed file. This feature makes ARJ especially suitable for distributing large software packages without the concerns about fitting entire files on one diskettes. ARJ will automatically split files when necessary

and will reassemble them upon extraction without using any extra disk space [Ref.3].

The ARJ archive data structure with its header structure and 32 bit CRC code provide archive stability and recovery capabilities. This software also provides a security envelope facility by way of "lock" ARJ archives. A "locked" ARJ archive cannot be modified by ARJ. This provides some level of assurance to the user receiving a "locked" ARJ archive that the contents of the archive have not been tampered with. Data integrity checks contribute to the security of the ARJ "lock" [Ref.3].

#### 5. LHA213

## a. New Static Huffman Coding

This is a revised version of LH113c.exe, by <u>H. Yoshizaki</u>, an archiver which was rather slow in execution but tight in compression ratio. This LHA software employs new static Huffman coding instead of older dynamic Huffman coding and is faster than LH113c in decompressing but requires more memory than LH113c introduced by <u>K. Okubo</u>. This has been known as 'LHARC' since it was introduced in 1989 [Ref.3].

#### b. General Feature of LHA

LHA was chosen over runner-up ARJ because the header it attaches to its self-extracting module requires only 1.9 Kbyte of RAM, and is highly customizable. That means the SFX has features that make it especially helpful for users

distributing software. If one restricts the type of compression used, PKZIP's 2.6 Kbyte is competitive, but otherwise, the overhead in competing programs is 3 times as great or more. LHA requires 384K plus the RAM [Ref.3].

This technique also is set so as not to compress for the files with extensions, .ARC, .LZH, .LZS, .PAK, .ZIP, .ZOO, which are partially or fully compressed already.

#### 6. PAK251

# a. Distilling and Crushing

This software uses the compression type of 'Distilled' and 'Crushed' among 12 compression types: Crunched, Squashed, Shrunk, Crushed, Imploded, Distilled ... 'Distilled' employs the Huffman coding and Sliding Window (LZ77) while 'Crushed' employs Lempel-Ziv algorithm.

### b. General Feature of PAK

PAK is intended as a replacement for ARC by System Enhancement Associates and PKARC and PKZIP by Philip Katz [Ref.15]. While PKZIP 1.0 files are roughly comparable in size to PAK files, PAK supports multiple compression, more archive formats and features. PAK creates and modifies archive files which have the .PAK, .ARC, or .ZIP extension. Files in an archive retain all of the information they had in the directory, such as name, size, and date. In addition, each file in an archive has a calculated CRC number, which assures the detection of damage after events such as file transmission

via modem. The basic format of PAK has 1 byte of marker, 1 byte of version, 13 bytes of name, 4 bytes of size, 2 bytes of data, 2 bytes of time, 2 bytes of CRC, and 4 bytes of length. Basic archives end with a short header, containing just the marker (26) and the end of file value (0) [Ref.15].

PAK has a wide array of extra features that includes comment writing, password protection, and a security envelope. PAK's optional command shell makes use of pop-up windows [Ref.15], which still is the most pleasing interface among any of the six programs evaluated here.

#### IV. PERFORMANCE ANALYSIS OF COMPRESSION SOFTWARE

#### A. EXPERIMENTAL SETUP

We define the compression ratio as the size of compressed file divided by the size of original file such that the smaller the compression ratio, the better the performance. Some software may use different measures for indicating the compression effectiveness such as 'SF (Stowage Factor)' which is the percentage of the reduction in file size by compression [Ref.22]. In archiving, the total Stowage Factor is the stowage factor for the archive as a whole, not counting archive overhead. In this thesis, however, we use the compression ratio defined above.

#### 1. How Files Are Tested

There are many ways to classify data files. Generally speaking, one can classify data files into ASCII type and binary type. An ASCII file is a data or text file that contains only characters coded from the standard ASCII printable character set. A binary file is generated in machine language form and ready to be executed by the CPU. Binary files cannot be transmitted by protocols that handle pure ASCII text.

This thesis classifies the data files into Text, Executable, dBASE, and Image files since this classification

meets the practical need of data management and transmission, especially in the military environment [Ref.24].

There are possibly many different types or formats in Image files: scanned picture, black-and-white image, color image, etc. In the compression analysis, however, they are all classified as Image type.

For comparison, 3 compression methods: PKZIP, StacPack, and Compress, the ported version of Compress in UNIX to DOS, and 4 archiving methods: ARJ221A, LHA213, PKZIP, and PAK251, were examined. Note that the 4 archiving techniques also contain the function of compression. For a wide range comparison, files sized from 500 bytes to 1 megabytes were collected. The file sizes spanned over 0.5K, 1K, 1.8K, 3K, 5K, 8K, 13K, 20K, 40K, 70K, 120K, 190K, 300K, 500K, and 800K. The margin of each size is ±20% which made for a relatively even and wide spread range. To test data compression packages, a collection of as many files as possible were gathered; however, 5 sample files for each of the 15 representative sizes constituted each file type.

They are mainly files of personal computers, DOS operated, though some were from VAX, and SUN workstations. The total size of each type of file ranges from 4 megabytes up to 10 megabytes. In any event, a compression or archiving software was needed to reduce the time and effort required to collect and manage those files.

Experiments were run on a 33-MHz IBM (Compatible)
Desktop 486 with 8 MB of extended RAM and a 100 MB hard disk.
The hard disk was formatted under MS-DOS 4.01. Sample files were stored on hard disk. Furthermore, these experiments were conducted in the program's native(default) mode.

## 2. Sample Files Classification

Text files include word processing documents, batch files and source language programs and are usually ASCII files as they contain only letters, digits and symbols. Most of the files are from mathcad [Ref.25], matlab [Ref.26], wp51 [Ref.28], PSpice [Ref.27], C++ [Ref.31]. Note that although text files are generally human-readable, the compressed files are generally not.

Executable files include machine language programs ready to be loaded and executed in the computer. These executable (binary) files may have some ASCII text in them as string constants. A total of more than 8 megabytes of executable files were obtained. There files are generally found with file extension .EXE or .COM. In contrast with .COM file, which is designed to work only in specific memory locations, .EXE files are designed as relocatable files and can reside in any memory locations. Most of the executable files were collected from DOS operating computers. They can be compressed with slightly larger (worse) ratios than text

files. Moreover, they need 3 times longer processing time than that required by ASCII text files.

A database is a collection of interrelated files that are created and managed by a database management system(DBMS). In the following discussion the word 'database' implies DBASE IV because it is the most widely used database system for personal computers, and its programming language and file formats have become industry standards. Additionally, DBASE is widely used in the U.S. Navy; therefore the compression effectiveness of dBASE files should be studied separately. Database files are usually not ASCII files since they contain numbers in integer or floating point forms and many control codes for tabulating purpose.

Due to the difficulty of obtaining a sufficient number of dBASE files, some files are acquired from the example files of dBASE IV, some files are purposely composed for different sizes, and some are obtained through the ftp (file transfer protocol) over internet from public domains.

Computer graphics and image processing applications create and process digital images. Images can be generated or sensed before they are stored in computers. For storing and maintaining pictures in a computer, images are represented in either vector graphics or raster graphics. When circuits are drawn in CAD (Computer Aided Design), vector graphics is used. As one draws, each line of the image is stored as a vector (two end points on a two dimensional matrix). Vector graphics

maintain the image as a series of lines. Unlike vector graphics, raster (binary) graphics is used when objects are "painted" on screen or are scanned, typically from 16 to 256 levels of gray levels, into the computer. It is similar to television where the picture image is made up of dots (pixels).

The 10 megabytes image files are collected including CAD files [Ref.29], drawperfect sample files [Ref.33], business graphics files which yield graphics-like bar or pie charts, or scatter diagrams, files from commercial games, and some Black/White and some colored images. Like Executable files, Image files may include some text descriptions that provide charts, tables, and special characters. Through ftp some larger sized files (above 300K) were downloaded from various universities and institutions.

#### B. EXPERIMENTAL RESULT ANALYSIS

### 1. Text Files

Fig. 5 shows the average compression ratios of PKZIP, StacPack, and Compress on collected text files. PKZIP ranks best when applied to text files.

Text files in the range of [10K, 100K] benefit the most since the compression ratios are lower than those of other files sizes. PKZIP stood out as 21.4% at 190K. Looking at each sample file (See Appendix A), one finds a PSpice library file sized 135K was compressed to 7% of its original

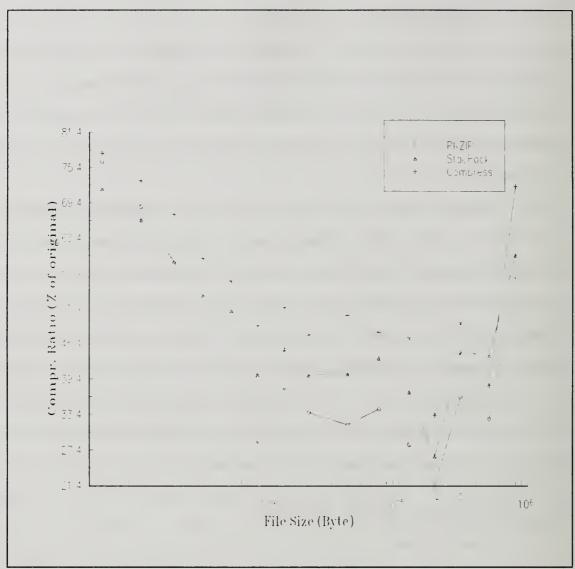


Fig. 5. Compression vs File Size, Text Files ( Compression Only ).

size using PKZIP. This is no surprise since there are many blanks in the library file. PKZIP's average ratio was 36%, StacPack was 43%, and Compress was 50%.

Fig. 6 is the comparison among 4 packages mentioned in section IV.A. One observes little difference from the lines.

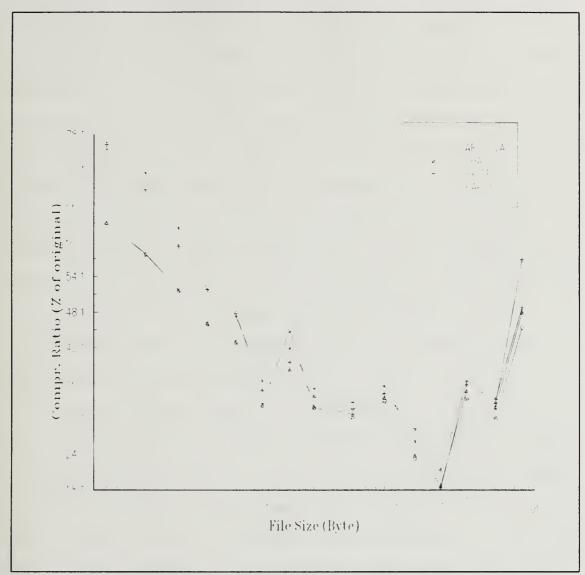


Fig. 6. Compression vs File Size, Text Files ( Compressed & Archived ).

However, ARJ221A stood out as the best and LHA213 was a close second. Good compression ratios are spread evenly between 10K and 200K which is consistent with the findings in Figure 5. Notably, for small size files, one does not find good ratios because the overheads of the software packages are too overwhelming. Comparing with PKZIP, the PSpice file at 135K

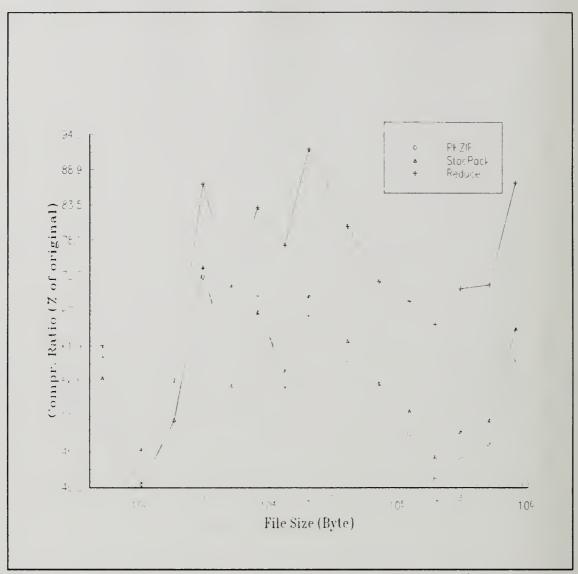


Fig. 7. Compression vs File Size, Executable Files ( Compression Only ).

was compressed to 6.2% by ARJ and LHA. The overall ratios of packages were 31%, 32%, 36%, and 34% for ARJ, LHA, PKZIP, and PAK251, respectively.

#### 2. Executable Files

Fig. 7 and Fig. 8 compare the compression ratios of Executable files among 6 software packages. The curves show

much more peaks and troughs than text files. However, the size ranges between 30K and 300K is a most stable range with better compression ratio than the other ranges. As sample size grows in archiving, ARJ is better than LHA, and PKZIP and PAK251 are tied. Additionally, one recognizes that 'Compress' does not perform well for .EXE file compression. Notably, PKZIP compressed PKUNZIP.EXE file to 77%, ARJ and LHA to 74%, but Compress shows an expansion or 102% of its original file. PAK251's 7.6% ratio for a 1.1K gen41.exe is the smallest ratio. Average ratios of each package was 51% for PKZIP, 56% for StacPack, 76% for Compress, 48% for ARJ221A, 49% for LHA213, and 49% for PAK251.

## 3. dBASE Output Files

Fig. 9 and 10 show the curves that are somewhat linear as the size grows. That is because when the file size grows, the amount of overhead or format has little difference with that of small size file. Sample sizes between 20K and 500K show the most useful range of dBASE Output File size to get the smallest value of compression ratio. In Fig. 9, after 10K, Compress is approximately 10% better than StacPack, and follows closely to PKZIP. In Fig.10, PAK251 also outperforms over PKZIP after 20K.

The smallest ratio from dBASE Output File is 12.3% at 13K of 'quad.dbf'. This file contains accounting information of personal names and addresses. Average compression ratios of

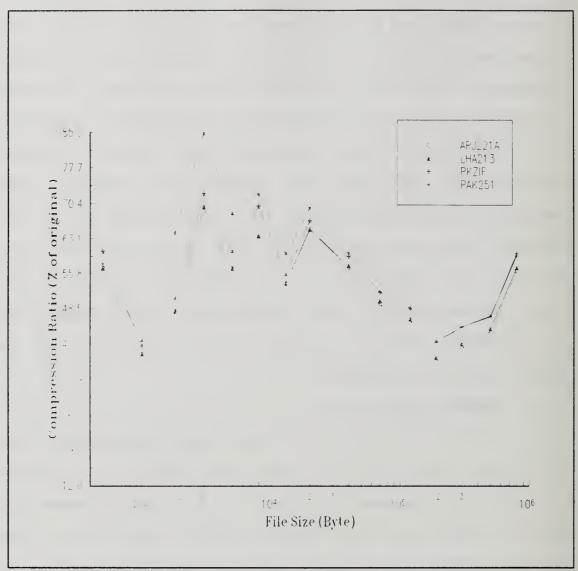


Fig. 8. Compression vs File Size, Executable Files (Compression & Archived).

dBASE files are 22% for PKZIP, 29% for StacPack, 24% for Compress, 18% for ARJ, 18% for LHA, and 19% for PAK251.

# 4. Image Files

Curves in Fig. 11 and 12 show V-shaped plots except for abrupt jumps at 70K range. This might be because of 'bv.sr' and 'bfg.sr', which are Black/White normal pictures.

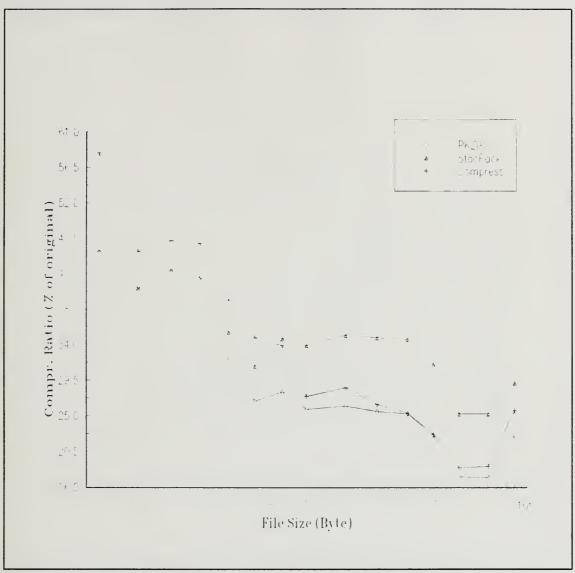


Fig. 9. Compression vs File Size, dBASE Output Files (Compression Only).

Except for the 70K cases the results show that the files size between 10K and 100K are benefit most from the compression.

Graphics users must note that some image files are resistant to the compression algorithms. For instance the gray-scaled .GIF image files have 100% to 132% compression ratios. This indicates there is some overhead generated by the

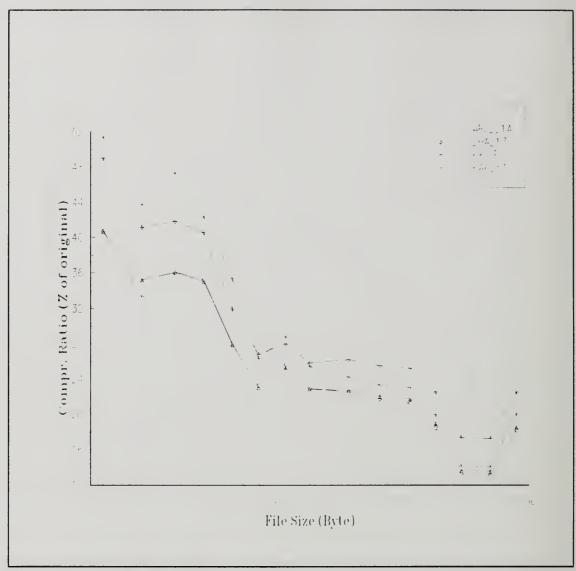


Fig. 10. Compression vs File Size, dBASE Output Files ( Compressed & Archived ).

software package. If one needs to compress those files, it is necessary to change the format from .GIF to .PCX or to whatever is compressible. It is noted that one can convert .GIF to .PCX format (with some expansion) and then compress the .PCX files. By doing this one can have a net compression ratio of less than 1.

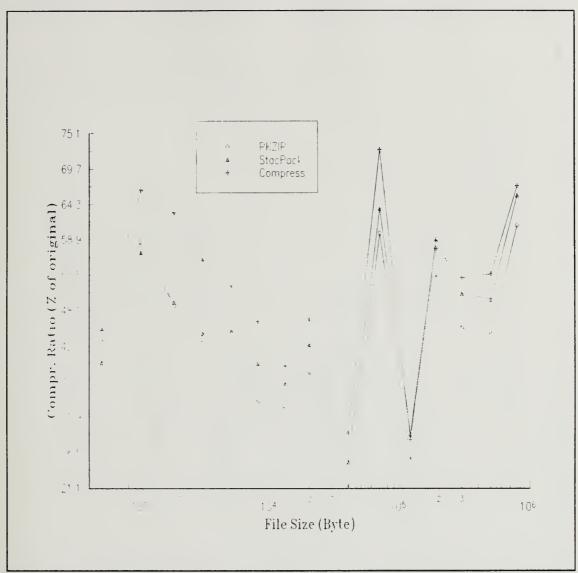


Fig. 11. Compression vs File Size, Image Files (Compression Only).

ARJ and LHA remain as the best compression software in compressing image files. 'Scree.rf' at 40K has a compression ratio of 6% which is the best from the experiment by ARJ and LHA. Each of ARJ and LHA has its own favorites; for example, 'bdy2.cbd' at 190K by ARJ was 6%, but 48% by LHA. The overall compression ratios were 51%, 56%, 58%, 46%, 47%, and 52% by

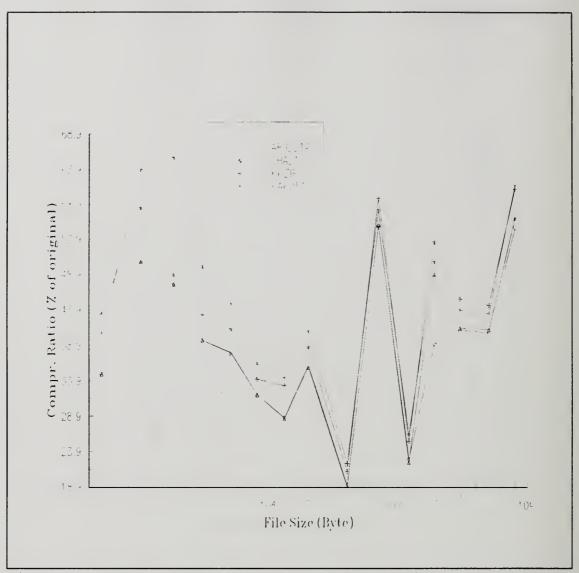


Fig. 12. Compression vs File Size, Image Files ( Compressed & Archived ).

PKZIP, StacPack, Compress, ARJ221A, LHA213, and PAK251, respectively.

# 5. Overall Performance Analysis

'Compress' shows the worst capability in Executables, but better than or close to StacPack in dBASE and Image files.

PKZIP had the same average compression ratio in Image and

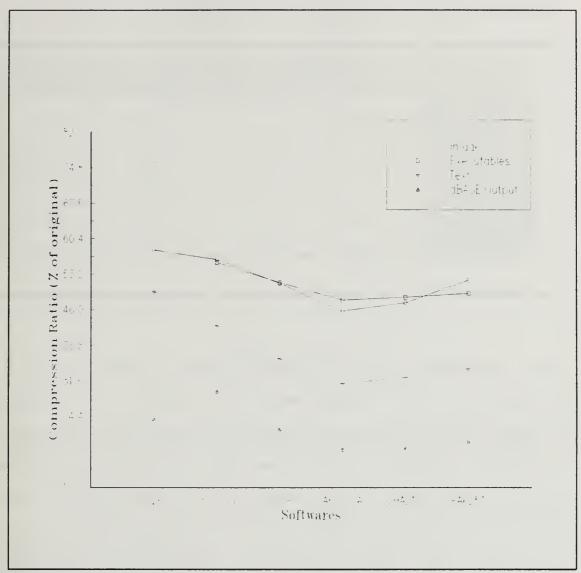


Fig. 13. Compression Ratio Comparison ( Total Compression of Each File Type ).

Executable files. Besides, one has to recognize that the .ZIP file format is the current standard in the data compression world. ARJ and LHA have kept steady low compression ratios in most kinds of file. ARJ proved slightly more effective on every

Table V Compression Ratio Comparison

Ş	Text	Execute	dBASE	Image
PKZIP	36.0	51.4	21.8	51.3
StacPack	42.7	55.5	29.4	58.1
Compress	49.6	76.2	23.9	58.1
ARJ221A	34.9	47.9	17.7	45.7
LHA213	32.2	48.5	18.0	47.4
PAK251	34.0	49.3	19.3	51.9

type. However, they are only 1.3% in Text, 0.6% in Executables, 0.3% in dBASE, and 1.7% in Image files. LHA gets the nod over ARJ because the header it attaches to its self-extracting modules is both the smallest among the six programs (1.9K) and the one with the most potential for customization. If we use < to indicate the relative compression ratios, then ARJ < LHA < PAK < PKZIP < StacPack < Compress. In other words, ARJ outperforms the others. Using the self-extracting technique allows the sending of compressed files to a party who does not have any utility to decompress them.

The files compressed by PKZIP were mostly 'imploded' which employed LZ77 and Shannon-Fano coding. With this in mind, considering the algorithms of good performing software packages, one can conclude that LZ77(SW), Huffman, and Shannon-Fano create the least compression ratio.

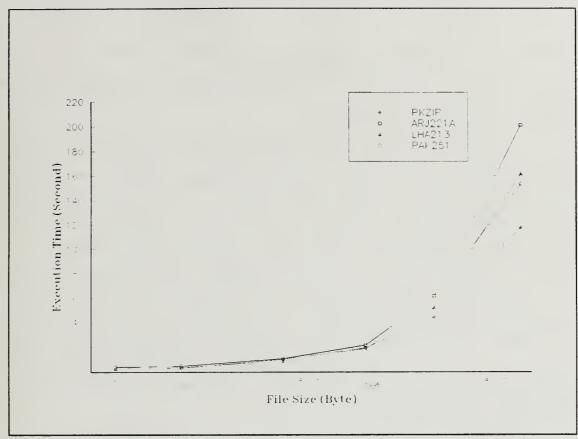


Fig. 14. Execution Time Comparison (Compressed & Archived).

Figure 13 shows that the dBASE files can be compressed the most in comparison to other file types. Notice that the binary files, Executables and Image files, have the highest compression ratios.

Although the differences are slight, some products outperformed others in compressing particular types of files. ARJ was best at compressing ASCII and executable files, while LHA realized the most out of the graphics formats. PAK251 is better than PKZIP in compression ratio except Image files, although the difference is a mere 0.6%.

Table V shows the general compression ratio for 4 file types and 6 packages. As one see, ARJ ranks at the top in all file types, and Compress the last. It is also shown in Figure 14 for clarity of comparison.

Figure 14 shows the execution time of 4 archivers. One cannot see big difference among softwares up to 1 Megabytes. However, PKZIP on a 33-MHz 486 with a hard disk of 18ms access time took 44 seconds to compress and archive 2 Mbytes of 7 sample files. In the same environment, ARJ took 17 seconds more and LHA took 8 seconds more than that of PKZIP. On the average, PKZIP is the fastest product. LHA and ARJ, the best compressors, still lagged behind the leader in speed. Details are shown in Appendix C.

#### V. CONCLUSION

Archiving and data compression utility programs allow users to store data files in a highly compressed form, which conserves storage space and improves telecommunication services. Archiving utilities also permit groups of files to be stored together in a single 'archive' file. Single files are easier to move, copy, store and manage than are ad-hoc collections of individual files [Ref.3]. There is no distinction between compression and archiving for softwares that provide archiving only.

Efficient information queries on archived and/or compressed files without unbundling the entire file systems is one important area for further research.

It is believed that compression will play a greater role in the future of personal computers and data communication. This is particularly true in multi-media applications where large amount of information have to be transferred and stored. However, that may require irrecoverable compression.

While data compression is not appropriate for every application, nearly 30 years of research on the subject has demonstrated that there are ample areas for research. It is valuable in data processing for efficient data transfer and storage.

As all the techniques have developed, we see now that data compression has become a part of routine data processing and communications. There are still many problems related to data compression that remains to be solved. For example, error detection and error correction are not incorporated in most software packages. A major use of data compression today is in communication systems. Compressing a message reduces the time and cost of sending it by an amount often equal to the compression ratio. Several popular softwares for data compression and archiving have been investigated and applied to files collected at NPS. The results show, in general, PKZIP is the fastest and ARJ221A has the best compression ratio. Therefore ARJ221A archives relatively the best. The details are reported in Chapter IV.

# APPENDIX A. RESULT OF EXPERIMENT FOR COMPRESSION SOFTWARE

Table 1 COMPRESSED, TEXT FILES < Fig.5 >

\*\* For various sizes of Text Files, Compressed only

File	Size Tex	kt	PKZIP		StacPac	ck	Compi	ress
400	shutt.mcd oilri.mcd spira.mcd cond .m dec2h.m Avg	554	418 416 489 357 419 420	76.4 80.0	394 393 462 331 395	71.0 70.9 72.2 74.2 71.3 71.8	501 353 444	76.2 76.0 78.3 79.1 80.0 77.8
800	feath.m anhar.mcd polar.mcd hex2n.m expm1.m Avg		785 735 593 689 563 673	65.0 71.7 73.3 65.4 70.0 68.7	544	63.3 69.7 70.4 63.6 67.7 66.5	774 618 740	70.9 75.5 76.4 70.3 73.8 73.1
1440-	bode .mcd -boole.mcd brake.mcd compf.mcd erf .m Avg	1455 1947	1367 880 1136 926 1161 1094	60.5 60.5 58.3 60.0 56.3 59.1	1154	59.3 59.9 56.6	1547 1003 1275 1041 1370 1247	68.5 68.9 65.5 68.1 66.4 67.4
2400-		2737 3772 2426 3076 3021 3006	1564 1864 1331 1570 1474 1561	49.4 44.9 51.0	1609 1961 1352 1604 1523 1610	52.0 55.8 52.2	1678 2140 1487 1928 1774 1801	61.3 56.7 61.3 62.7 58.7 59.9
5K 4K- 6K	read1.doc inst.doc readm.txt cgs.mcd direc.mcd Avg	4029 5594 4383	2258 1988 2485 2110 2324 2233	49.3 44.4 48.1 45.5	2391 2088 2704 2246 2503 2386	51.8 48.3 51.3 49.0	2567 2279 3050 2420 2776 2618	60.3 56.6 54.5 55.2 54.3 56.0
6400-	stmed.msg - redm.mcd bench.m spi2.dat fload.c	7615 7377	3033 3547 2615 2236 2834	46.6	3483 4096 2882 2743 3261	53.8 39.1	4126 4357 3841 3172 4390	52.2 57.2 52.1 33.6 50.3

\*\* For various sizes of Text Files, Compressed only

File Size	Text	PKZ	IP	Stacl	Pack	Comp	press
Avg	8213	2330	28.4	3293	40.1	3977	48.4
13K api.doc 10.4-textb.doc 15.6K read.doc remez.m read3.doc Avg	15429 15443 15407	5815 6919 5774 4472 4853 5567	44.8 37.4 27.0 40.4	6960 7320 7177 5227 5990 6535	33.9	9835 7459 6544 6074	52.1 63.7 48.3 42.5 50.6 51.5
20K thesi.doc 16K- arrow.doc 24K cshel.doc redu.c spil.dat Avg	21582 24911 21931	6207 10226 7775 4981 7008 7239	47.4 31.2 22.7 32.6	10106 6782	42.4 49.0 40.6 30.9 37.2 40.0	16224 9620 7201	54.2 75.3 38.6 32.8 36.9 46.8
40K chara.doc 32K- matla.hlp 48k setup.inf eval.lib parts.hlp Avg	50425 50014 52515	13881 20556 12898 15614 9424 14435	40.8 25.8		37.9	26260 24094 27348	49.6 52.1 48.2 52.1 40.9 50.2
70K holid.doc 56K- mcad.hlp 84K check.hlp util.doc class.doc Avg	53184 52616 79144	31797 13639 17631 24687 13952 20341	25.6 33.5	33152 19123 23455 32078 19184 25398	36.0 44.6		86.7 32.3 41.4 42.2 35.2 47.3
120K qbasi.hlp 96K- anlg.lib 144K tex.lib thyri.lib lin.lib Avg	138727 131653 135346	130810 18629 10137 9409 14313 36660	13.4 7.7 7.0 12.9	16053 24944	44.0 12.6 11.9 22.5	48669 32684 27136 36165	119. 35.1 24.8 20.0 32.7 46.4
190K ssims.mdr 152K-eval2.dat 228K bipol.lib diode.lib pwr.lib Avg	159201 185420 158181	98536 25906 22716 22377	61.9 14.0 14.4 12.1	39172 30692 28532	64.0 21.1 19.4 15.4	131712 46633 44552 45859	82.7 25.1 28.2 24.8
300K quatt.hlp 240K- 300K Avg							

** For various :	sizes of Text	Files, Co	mpressed	only				
File Size Text PKZIP StacPack Compress								
500K ridm.txt 4	454374 147912	32.6 1974	06 43.4	173423 38	3.2			
•	454374 147912	32.6 1974	06 43.4 1	173423 38	3.2			
800K tchel.tch 9	976250 555033	56.9 5908	72 60.5 7	704621 72	2.2			
	976250 555033	56.9 5908	72 60.5 7	704621 72	2.2			
	67,706 1,463, 100 % 36.		5,553 2 2.7 %	2,015,783 49.6				

Table 2 COMPRESSED, EXECUTABLE FILES < Fig.7 >

\*\* For various sizes of Executable Files, Compressed only

File Size Exe	 cute	PKZI	<b></b> -	StacPa	ack	Compr	ess
0.5K isat.exe 400- chkri.com 600 rambi.com exet1.com fasto.exe Avg	688 307 413	104 604 268 413 215 321	18.3 87.8 87.3 100. 31.6 60.5	586 232 396 207	16.6 85.2 75.9 96.1 30.5 57.1	628 271 413 224	19.2 91.3 88.3 100. 32.9 62.0
1K egaep.com 800- gen41.exe 1200 loadf.com prtsc.exe Avg	1125 1131	665 118 607 419 452	66.1 10.4 53.7 35.6 40.7	120 601 416	65.2 10.7 53.2 35.4 40.3	132 699 468	73.5 11.7 61.8 39.8 45.9
1.8K curso.com 1440-gen42.exe 2160 67ves.com runti.exe dbase.exe Avg	1477 1559 1590	1183 176 999 758 754 774	81.5 11.9 64.1 47.7 47.5 50.5	993 766 762	81.0 12.5 63.8 48.2 48.0 50.6	1189 811 808	90.0 14.6 76.3 51.0 50.9 56.6
3K egala.com 2400- more.com 3600 appen.exe setna.exe astcl.com Avg	2618 2902 3174	1152 2044 2902 1977 1796 1974	78.1 100. 62.3 70.2	1170 2058 3073 1977 1817 2019	49.0 78.6 106. 62.9 71.1 74.0	2140 3574 2308 2111	61.3 56.7 123. 72.7 82.6 86.6
5K edit.exe 4K- strid.exe 6K shell.com grep2.exe touch.com Avg	4837 3894 5934	3654 3185 1072 3667 3347 2985	65.8 27.5 61.8 65.4	3272 3272 1105 3759 3431 2747	63.3	3805 1263 4570 4001	78.7 78.7 32.4 77.0 78.2 70.9
8K stup.exe 6400-wpinf.exe 9600 patch.exe grep.com tasm2.exe Avg	8192 6788 7029	5402 6857 4581 4599 4064 5101	83.7 67.7 65.4 58.2	5560 5332 4689 4711 4194 4897	65.1 69.3 67.0 60.1	6955 6617 5819 5709 5225 6065	92.5 80.8 85.7 81.2 74.8 83.0
13K grab.com 10.4- mips.com 15.6 check.exe share.exe	13312 10043	7909 5431 6588 7508	40.8 65.6	8380 5962 6811 7823	44.8 67.8	14479 7472 8159 9277	91.4 56.1 81.2 69.1

\*\* For various sizes of Executable Files, Compressed only

File	Size E	xecute	PKZI	P	StacPa	ack	Compre	ess
	crc.exe Avg	10659 12656	7560 6999		7790 7353		9463 9770	88.8 77.2
	pkuz.exe reduc.exe st.exe red.exe mcstr.exe Avg	16505 17184 16701	18125 11153 11136 11246 8645 12061	67.6 64.8 67.8 52.7	18829 11610 11596 11700 9111 12569	70.3 67.5 70.1	24075 15326 16898 15514 11244 16611	102. 92.9 98.3 92.9 68.6 92.0
40K 32K- 48K	pkz.exe lha.exe dcm.exe copy.exe cfig3.exe Avg	34283 45212 42398	25552 25096 22860 19889 24071 23494	73.2 50.6 46.9 57.3	26604 26111 24762 21428 25429 24867	76.2 54.8 50.5 60.6	34170 32687 31658 28341 31974 31766	99.6 95.3 70.0 66.8 76.2 80.1
	chkfd.exe hdini.exe drc.exe globa.exe local.exe Avg	75915 84322 83854	34620 41549 42471 40895 27988 37505	54.7 50.4 48.8 47.6	36793 44976 46244 44796 30722 40706	59.2 54.8 53.4 52.3		81.0 71.1 69.2 67.6 72.1 71.7
	conve.exe graph.exe 113.exe ift.exe Avg	107520 93399 111894	59625 70670 49929 22043 50567	65.7 53.5 19.7	64078 74884 53340 24919 54305	69.6 57.1 22.3	87955 102987 67811 28198 71738	83.7 95.8 72.6 25.2 68.7
	desig.exe - dash.exe disp.exe dsl.exe exec.exe Avg	197274 179560 167734	98509 63846 61394 65147	49.9 35.6 36.6 37.8	87466 105393 70165 67199 70683 80181	53.4 39.1 40.1 41.0	96975 91795	76.8 54.0 54.7 58.3
240K-	mcad.exe -check.exe cproc.exe wcsim.exe pcgpp.exe Avg	351232 376486 284184 273432	170400 159581 107365 125300	48.5 42.4 37.8 45.8	183369 176076 118984	52.2 46.8 41.9 50.2	253029 257589 181342 195168	72.0 68.4 63.8 71.4
400K-	mat38.exe -gpp38.exe stmed.exe	418612	188883	45.1	204706	48.9	284042	67.9

** For various sizes of Executable Files, Compressed only								
File Size Execute PKZIP StacPack Compress								
probe.exe 54 Avg 48	3952 244485 4993 226342							
800K pshel.exe 63 640K-pspic.exe 78 960K tc.exe 88 graft.exe 64 Avg 73	1504 352518 7104 467688	45.1 386205 52.7 506010 100. 686691	49.4 5 57.0 6 107. 9	50702 70 45948 72 07302 14	).5 2.8			
Total 8,576 Ratio 1	,430 4,405,5 00 % 51.4			,537,717 76.2 %				

Table 3 COMPRESSED, dBASE Output Files < Fig. 9 >

\*\* For various sizes of dBASE Output Files, Compressed Only

File Size dBA	 SE	PKZII		StacPa	ack	Compre	ess
0.5K stokn.dbf 400- sysid.dbf 600 systi.dbf trans.dbf Avg	418 427	382 190 166 301 260	59.7 45.5 38.9 47.0 49.0	175 149 289	57.5 42.0 35.0 45.2 46.0	204 214 333	59.5 48.8 50.1 52.0 58.3
1K sales.dbf 800- stokp.dbf 1200 acctr.dbf codes.dbf items.dbf Avg	896 1280 1152	342 436 445 532 346 420	38.3 48.7 34.8 46.2 38.7 41.1	423 463 557 352	38.3 47.3 36.2 48.4 39.4 41.1	478 551 549 394	41.9 53.3 43.0 47.7 44.1 45.8
1.8K clien.dbf 1440- cust.dbf 2160 peopl.dbf systa.dbf stock.dbf Avg	2048 2048 1539	849 875 984 449 585 748	51.0 42.7 48.0 29.2 35.2 41.8	910 1033 478 602	52.8 44.5 50.4 31.1 36.2 43.5	991 985 574 804	52.9 48.4 48.1 37.3 48.3 47.2
3K conte.dbf 2400-custo.dbf 3600 inven.dbf hal3k.dbf 3k_1.dbf Avg	2666 2371 3268	934 1356 823 1483 997 1119	40.5 50.9 34.7 45.4 31.1 40.5	1412 853 1575 1067	53.0 36.0 48.2 33.3	1095 1501 1125 1521 1217 1292	47.5 56.3 47.4 46.5 38.0 46.8
5K goood.dbf 4K- names.dbf 6K sysco.dbf dba4.dbf ha5k.dbf Avg	4096 5586 4969	1144 2163 959 1552 2207 1605	22.3 52.8 17.2 31.2 41.7 31.9	2281 1105 1691	55.7 19.8 34.0 46.7	1653 2258 1506 2217 2298 1986	32.3 55.1 27.0 44.6 43.4 39.6
8K syscl.dbf 6400- dba2.dbf 9600 8k_1.dbf hal8k.dbf 8k_2.dbf Avg	7842 8194 8260	1447 2257 1924 3283 1888 2160	23.5	2706 2296 3760 2265	34.5 28.0 45.5 27.7	2129 3317 2558 3476 2551 2806	27.2 40.5 31.2 42.1 31.1 34.8
13K emplo.dbf 10.4- dba1.dbf 15.6 offic.dbf h13k.dbf	12639 11261	3615 3339 3808 4873	26.4	4412 4244 4381 5947	33.6 38.9	4376 3734 4831 5197	35.6 29.5 42.9 39.2

\*\* For various sizes of dBASE Output Files, Compressed Only

File Size dBASI	 E	PKZ:	IP	StacPack		Compre	ess
qual.dbf Avg	13453 12579	1999 3527		2855 4368		3107 4249	23.1 33.8
20K dba3.dbf 16K- ofil1.dbf ofil2.dbf 20k_2.dbf h20k.dbf Avg	19245 16274 20102 20258 20272 19230	4708 4259 4692 3948 7181 4958	26.2 23.3 19.5 35.4	5539 5663 6736 5354 9173 6493	28.8 34.8 33.5 26.4 45.3 33.8	5827 7036 5189 7605	24.2 35.8 35.0 25.6 37.5 27.4
40K h40k.dbf 32K- ha40k.dbf 48K 40k_2.dbf 40k_1.dbf Avg	40240 40354	13681 13662 7389 7423 10539	34.0 18.3 18.4	17948 17910 10368 10401 14157	44.5 25.7	13714 13728 9263 9191 11474	34.1 34.1 23.0 22.8 28.5
56K- ha70k.dbf 84K 70k_2.dbf	70192 70192 70530 70530 70361	23419 23333 12458 12602 17953	33.2 17.7 17.9	30876 31129 17934 17897 24459	44.0 44.3 25.4 25.4 34.8	14695 14624	32.2 32.1 20.8 20.7 26.4
120K h120.dbf 96K- ha120.dbf 144K 120k1.dbf 120k2.dbf Avg	120190 120190 120802 120802 120496	39630 39676 21242 21045 30398	33.0 17.6 17.4	52920 52929 30609 30541 41750	25.3 25.3	37239 37416 23551 23637 30461	31.0 31.1 19.5 19.6 25.3
190K h190.dbf 152K-190k2.dbf 228K 190k1.dbf Avg	190156 191170 191170 190832	62354 33181 33111 42882	17.4 17.3	83892 48186 48034 60037	25.2 25.1	57917 35643 35882 43147	30.5 18.6 18.8 22.6
300K 300k2.dbf 240K-300k1.dbf 360K Avg	301762		17.3	76196 76120 76158		55370	18.5 18.3 18.4
500K 500k2.dbf 400K-500k1.dbf 600K Avg	502818	86479	17.2	126604 126627 126616	25.2	93588	18.6 18.6 18.6
Total 5,937	804450	138040 138066 193519 1,295,6	17.2 17.2 22.5	202127 202331 249863 1,745,3	25.1 25.2 29.1	150638 149402 220121 1.419,3	18.7 18.6 25.6 750

\*\* For various sizes of Image(Graphic) Files, Compressed

File	Size Imac	ge	PKZI	P	StacPa	ack	Compre	ess
	augus.svg aushh.svg pebbl.svg grchk.f compa Avg	494	136 129 153 389 294 220	27.5 26.1 31.0 64.9 63.9 43.3	117 139 370 268	25.2 23.9 28.2 61.8 58.4 40.2	136 155 406 306	28.7 27.5 31.4 67.8 66.5 45.1
	freel.wpg mktbl grlgt.f pgcp .f pglab.f Avg	1210 1044 877 893 924 990	642 732 546 509 465 579	53.1 70.1 62.3 57.0 50.3 58.5	708 527 489 451	52.8 67.8 60.1 54.8 48.9 56.9	788 584 573 566	64.5 75.5 66.6 64.2 61.3 66.5
1440-	free3.wpg -fhvst.wpg free5.wpg free6.wpg Avg	1916 1644	691 1050 718 762 805	48.6 54.8 43.7 47.1 48.8	1067 726 765	44.2	1299 985 995	61.6 67.8 59.9 61.5 63.0
	snow.rf -patti.shp headc. metal fjamm.wpg grap2.wpg Avg	2842 2536 2412	1400 745 1459 1508 1159 1178 1242	30.6 51.3 59.5 48.1	1491 1529 1189 1200	32.5 52.5 60.3 49.3 33.7	1729 1179 1783 1721 1475 1729 1603	49.7 48.5 62.7 67.9 61.2 48.6 55.7
5K 4K- 6K	verti.vrs fonti.shp haal.dwg colo garfi.iml grope.f Avg	4096 4368 5860	1449 1855 1518 2591 2493 1853 1960	45.3 34.8 44.2 50.3 40.8	1876 2928	47.8 42.9 50.0 53.2 43.4	2527 2157 2020 3128 2723 2342 2483	51.1 52.7 46.5 53.4 54.9 51.6 51.8
6400- 9600	e3830.dwg - etbl imdri.f sunvi.sha syn.me teapo Avg	8379 8942 7685 9147 8227 8474	2596 3379 2835 2978 2660 2887 2889	40.3 31.7 38.8 29.1 35.1 34.1		46.0 37.7 44.3 35.4 40.6 40.0	3556 4492 3875 4063 3553 4028 3928	42.0 53.6 43.3 52.9 38.8 49.0 46.4
13K	arch.dat	13717	2912	21.2	3225	23.5	3877	28.3

\*\* For various sizes of Image(Graphic) Files, Compressed

File Size Imag	ge	PKZIP		StacPack		Compre	ess
10.4-bearl.rf 15.6 geniu.vrs main.shp thes2.dwg Avg		3888 5112 4915 4620 4289	41.4 43.6 38.6	4594 5337 5242 5518 4783	43.2 46.5	6182 5579	24.8 49.7 54.9 46.6 39.6
20K clown.rf 16K- turk.rf 24K aero.eps bord.shp tsai.dwg Avg	20608	6156 9219 6723 7525 8280 7581	47.8 31.2 36.5 44.3	6866 10013 7630 8054 9550 8423	38.5 51.9 35.4 39.1 51.2 42.9		43.4 50.8 41.8 51.3 55.4 46.8
40K golf.dat 32K- birds.rf 48K scree.rf sql.sha img8.rgb Avg	47865 38147 44976	7102 17377 3124 12175 4861 8928	36.3 8.2 27.1	8700 19056 4027 15942 5220 10589	17.3 39.8 10.6 35.4 17.0 25.0	11871 19189 4309 20129 6785 12457	23.7 40.1 11.3 44.8 22.1 29.4
70K slib.shp 56K- bv.sr bfg.sr show xhip Avg	70400 84432 77089 82177 82174 79254	40863 68807 64653 30978 31758 47412	81.5 83.9 37.7	43521 72408 68568 33273 34061 50366	61.8 85.8 88.9 40.5 41.4 63.6		69.8 88.0 88.3 61.6 55.6 72.6
120K augus.ml8 96K- bush.ml8 144K peb.ml8 lenno.im1 movie space.im1 Avg	111864 111864 129632 98563	27412 24910 24980 36770 38709 22373 29192	22.3 22.3 28.3 39.3	32016 29759 29282 42213 41754 27509 33756	28.6 26.2 32.6 42.4 21.2 29.2	28804 31095	27.2 25.9 25.7 24.0 58.0 15.8 28.4
190K plant.mif 152K- bdy2.cbd 228K img5.rgb img9.rgb img14.eps Avg	200427	181291 139112 73887	49.9 81.0 69.4	44557 118052 191694 148318 90457 118616	74.0	45103 107813 215044 136806 74179 115789	25.3 47.1 96.1 68.3 42.1 57.5
300K ad.eps 240K-img13.rle 360K bdy.cbd libpg.a		149260	61.2 51.2		39.8 66.7 53.9 47.1		41.2 57.3 68.2 49.8

\*\* For various sizes of Image(Graphic) Files, Compressed and Archived

File Size Ima	File Size Image		PKZIP		StacPack		ess
Avg	297081	134985	45.4	150424	50.6	157585	53.0
500K 944gt.scr 400K-bab02.eps 600K 63vet.scr	526772 471937	171576 171599	32.6 36.4	217306 187271	41.3	169695 272544	32.2 57.8
Avg	479377	213502	44.5	238109	49.7	291383 256962	53.6
800K bigk.scr 640K-ball.scr	742684	386224	52.0	419076	56.4	471329	63.5
960K beac.scr half.scr solin.sc	961208	662157	68.9	708721	73.7	534562 646509 833651	67.3
Avg Total 10,03	869059 3,651	531343 5,149,	61.1 569	571406 5,625,6	65.7 605	583261 5,828,	67.1 549
Ratio	100 %	51.	3 %	56.	I %	58.	1 %

## APPENDIX B. RESULT OF EXPERIMENT FOR ARCHIVING SOFTWARE

Table 5 COMPRESSED AND ARCHIVED, TEXT FILES < Fig. 6 >

\*\* For various sizes of Text Files, Compressed and Archived

File	Size	Text	ARJ221	LA	LHA21	L3	PAK25	51
400-	shutt.mcd oilri.mcd spira.mcd cond.m dec2h.m Avg	554	345 345 407 291 347 347	62.2 62.3 63.6 65.2 62.5 63.1	344 407 291 347	62.2 62.1 63.6 65.2 62.5 63.1	411 486 343 429	74.2 74.2 75.9 76.9 77.3 75.6
800-	<pre>feath.m anhar.mcd polar.mcd hex2n.m expm1.m Avg</pre>		661 623 502 580 467 567	54.8 60.8 62.1 55.1 58.1 57.9	623 501 580 467	54.8 60.8 61.9 55.1 58.1 57.8	757 603 729 574	70.0 73.9 74.5 69.2 71.4 71.6
	bode.mcd -boole.mcd brake.mcd compf.mcd erf.m Avg	1455 1947	1218 761 1000 806 1010 959	52.3 51.4 52.7	1000 806 1010	52.3 51.4 52.7 49.0	1203	63.2 68.0 61.8 63.1 56.6 62.2
2400-	anten.doc - mks.mcd besse.m bilin.m cplxp.m Avg		1370 1671 1165 1400 1317 1385	44.3 48.0 45.5 43.6	1371 1671 1165 1401 1317 1385	44.3 48.0 45.5	1504 1877 1336 1603 1462 1556	55.0 49.8 55.1 52.1 48.4 51.8
5K 4K-	read1.doc inst.doc readm.txt cgs.mcd direc.mcd Avg	4029 5594 4383	2034 1788 2258 1900 2066 2009	47.8 44.4 40.4 44.3 40.4 43.0	2260 1902 2067	44.4 40.4 43.4 40.4	2247 1948 2491 2080 2291 2211	52.8 48.3 44.5 47.5 44.8 47.3
6400-	stmed.msg - redm.mcd bench.m spi2.dat fload.c	7615 7377	2892 3421 2436 1832 2699		3422 2437 1831	44.9 33.0	3226 3659 2746 2264 3017	40.8 48.0 37.2 24.0 34.6

\*\* For various sizes of Text Files, Compressed and Archived

File	Size	Text	ARJ22	LA	LHA213	3	PAK25	51
	Avg	8213	2656	32.3	2657	32.3	2982	36.3
10.4-	api.doc -textb.doc read.doc remez.m read3.doc Avg	15429 15443 15407	5659 6712 5627 4291 4748 5407	43.5 36.4 27.0 39.5	5655 4289	43.4 36.6 27.8 39.6	6132 7662 5980 4765 5021 5912	40.2 49.7 38.7 30.9 41.8 42.0
16K-	thesi.doc arrow.doc cshel.doc redu.c spil.dat Avg	21582 24911 21931	5936 9978 7499 4674 6186 6855	46.2 30.1 21.3 28.8	5938 9940 7605 4708 6119 6862	46.1 30.5 21.5 28.5	6513 11044 8061 5210 6820 7530	38.2 51.2 32.4 23.8 31.8 35.1
32K-	chara.doc matla.hlp setup.inf eval.lib parts.hlp Avg	50425 50014 52515 33583	12922 19446 12415 15011 8846 13728	38.6 24.8 28.6 26.3	13132 20289 12551 15327 9266 14113	40.2 25.1 29.2 27.6	14167 21239 13479 16291 9899 15015	33.6 42.1 27.0 31.0 29.5 32.8
56K-	holid.doc mcad.hlp check.hlp util.doc class.doc Avg	53184 52616 79144	30664 13171 16849 23823 13403 19582	24.8 32.0 30.1 24.0	30556 13291 17441 24488 13793 19914	25.0 33.1 30.9 24.7	32194 14860 18217 25533 14811 21123	57.9 27.9 34.6 32.3 26.6 35.6
120K 96K- 144K	<pre>qbasi.hlp anlg.lib tex.lib thyri.lib lin.lib Avg</pre>	138727 131653 135346 110682	17247 8477 8334 12018	12.4 6.4 6.2 10.9	8787 8352	12.6 6.7 6.2 12.0	21367 12176 11172 15931	15.4 9.2 8.3
152K-	ssims.mdr -evals.dat bipol.lib diode.lib pwr.lib Avg	159201 185420 158181 184757	91891 18868 16226 17372	57.7 10.2 10.3 9.4	17958 92303 21710 19024 18174 33942	58.0 11.7 12.0 9.8	95799 26120 22357	10.6 60.2 14.1 14.1 11.9 18.4
300K 240K- 360K	quatt.hlp - Avg				100159 100159			

** For various	sizes o	of Text	Files	s, Compi	ressec	d and Ar	chived
File Size	Text	ARJ221	LA	LHA213	3	PAK25	51
500K ridm.txt	454374	136477	30.0	145273	32.0	151119	33.3
	454374	136477	30.0	145273	32.0	151119	33.3
800K tchel.tch	976250	444057	45.5	469940	48.1	477828	48.9
	976250	444057	45.5	469940	48.1	477828	48.9
		1,258,3		1,310,6		1,383,3	

Table 6 COMPRESSED AND ARCHIVED, EXECUTABLE FILES < Fig.8 >

\*\* For various sizes of Executable Files, Compressed and
Archived

File Size Exec	utables	ARJ2	21A 	LHA2	13	PAK2	51
0.5K isat.exe	568	85	15.0	85	15.0	80	14.1
400- chkri.com	688	570	82.8	570	82.8		86.5
600 rambi.com		252	82.1		82.1		87.3
exet1.com		407	98.5		98.3		96.9
fasto.exe		198	29.1		29.1		28.4
Avg	531	302	56.9		56.9		57.8
-		302	50.9	302	50.9	307	57.0
1K egaep.com		640	63.6		63.5		68.0
800- gen41.exe	1125	103	9.2	103	9.2	85	7.6
1200 loadf.com	1131	574	50.8	574	50.8	665	58.8
prtsc.exe	1176	418	35.5	418	35.5	419	35.6
Avg	1110	434	39.1	434	39.1	463	41.7
							- 4
1.8K curso.com		1123		1123		1226	84.4
1440-gen42.exe		157	10.6		10.6		11.2
2160 67ves.com		964	61.8			1401	89.9
runti.exe		713	44.8			1067	67.1
dbase.exe	1588	714	45.0			1065	67.1
Avg	1533	734	47.9	735	47.9	985	64.3
3K egala.com	2388	1100	46.1	1100	46.1	1504	63.0
2400- more.com		1971	75.3	1971	75.3	2480	94.7
3600 appen.exe		2770		2769		2902	100.0
setna.exe		1916		1916		2440	76.9
astcl.com		1736		1735		2232	87.3
Avg	2728	1899		1898		2312	84.8
-		1033	05.0	1000	0,0	2312	04.0
5K edit.exe	4837	3095	64.0	3095	64.0	3654	75.5
4K- strid.exe	4837	3095	64.0	3095	64.0	3654	75.5
6K shell.com	3894	1007	25.9	1006	25.8	1483	38.1
grep2.exe	5934	3539	59.6	3540	59.7	4143	69.8
touch.com		3248	63.5	3248	63.5	3829	74.8
Avg	4924	2797	56.8	2797		3353	68.1
0.77	5500	5064	<b>50.0</b>	5064	<b>500</b>	5000	70.0
8K stup.exe		5264	70.0			5889	78.3
6400-wpinf.exe		5092	62.2			5748	70.2
9600 patch.exe		4417	65.3			5027	74.1
grep.com		4519	64.3			5168	73.5
tasm2.exe	6984	3933	56.3	3933		4585	65.7
Avg	7303	4645	63.6	4645	63.6	5283	72.3
13K grab.com	15842	7818	49.3	7820	49.4	8632	54.5
10.4- mips.com		5149		5150		6120	46.0
15.6 check.exe		6393		6391		7048	70.2

\*\* For various sizes of Executable Files, Compressed and Archived

File	Size Exect	ıtables	ARJ2:	21A	LHA2	13	PAK2	51
	share.exe		7266		7251		8036	59.9
	crc.exe				7349		8016	75.2
	Avg	12656	6796	53.7	6792	53.7	7570	59.8
20K	pkuz.exe		17491		17490		18638	78.1
16K-	reduc.exe		10916		10899		11633	70.5
	st.exe		10852		10840		11581	67.4
	red.exe		11002		10987		11727	70.2
	mcstr.exe		8419		8406		9181	56.0
	Avg	18063	11736	65.0	11724	64.9	12552	69.5
40K	-		24487		24633		25569	74.6
32K-	lha.exe		24477		24611		25521	74.4
	dcm.exe		22004		22139		23307	51.6
	copy.exe		18672		18934		20214	47.7
	cfig3.exe		23392		23472		24558	58.5
	Avg	39635	22606	57.0	22758	57.4	23834	60.1
70K	chkfd.exe	59208	33522	56.6	33711	56.9	35162	59.4
56K-	hdini.exe	75915	38888	51.2	39602	52.2	41444	54.6
84K	drc.exe	84322	40100	47.6	40587		42226	50.1
	globa.exe	83854	38833	46.3	39449	47.0	40979	48.9
	local.exe	58742	26650	45.4	26989		28313	48.2
	Avg	72408	35599	49.2	36068	50.1	37625	52.0
120K	conve.exe	105141	55826	53.1	56929	54.1	59396	56.5
96K-	graph.exe	107520	67494	62.8	67977	63.2	69826	64.9
144K	113.exe		47234	50.6	47875	51.3	50096	53.6
	ift.exe	111894	20825	18.6	20864	18.6	24003	21.5
	Avg	104489	47845	45.8	48411	46.3	50838	48.7
190K	desig.exe	175292	72765	41.5	74001	42.2	80172	41.5
	dash.exe			47.2	94191	47.7	99256	50.3
228K	disp.exe	179560	57256	31.9	58745	32.7	63873	35.6
	dsl.exe	167734	55602	33.1	57132	34.1	62304	37.1
	exec.exe	172388	57193	33.2	58171	33.7	66092	38.3
	Avg	178450	67171	37.6	68448	38.4	74339	41.7
300K	mcad.exe	289664	130528	45.1	132901	45.9	142049	49.0
	check.exe							
	cproc.exe							
	wcsim.exe							
	pcgpp.exe	273432	110969	40.6	113517	41.5	122113	44.7
	Avg	315000	126802	40.3	129697	41.2	140410	44.6
500T	mat38.exe	120760	100520	16 5	201699	47 0	211639	10 1

\*\* For various sizes of Executable Files, Compressed and Archived

File Size Execu	utables	ARJ221A		LHA213		PAK2	51
400K-gpp38.exe 600K stmed.exe probe.exe Avg	548640 543952	244221 227871	44.5 41.9	248064 231680	45.2 42.6	264243	48.2 45.1
800K pshel.exe 640K-pspic.exe 960K tc.exe graft.exe Avg	781504 887104 644029	324796 434990 623980	41.6 49.0 96.9	331450 442889 624399	42.4 49.9 97.0	359426 459652	46.0 51.8 97.3
Total 8,576 Ratio	5,430 100 %	4,105,5		4,163,2		4,224,9	

Table 7 COMPRESSED AND ARCHIVED, dBASE Output Files <Fig.10>
\*\* For various sizes of dBASE Output Files, Compressed and Archived

File Size d	BASE	ARJ2	21A	LHA2	13	PAK2	51
0.5K stokn.dbf 400- sysid.dbf 600 systi.dbf trans.dbf Avg	418 427	314 158 142 248 216	49.1 37.8 33.3 38.8 40.7	158 142 248	49.1 37.8 33.3 38.8 40.7	194 208 316	58.3 46.4 48.7 49.4 51.4
1K sales.dbf 800- stokp.dbf 1200 acctr.dbf codes.dbf items.dbf Avg	896 1280 1152	279 370 397 456 300 340	31.2 41.3 31.0 39.6 33.6 33.2	370 397 455 300	31.2 41.3 31.0 39.5 33.6 35.2	467 509 518 370	41.3 52.1 39.8 45.0 41.4 43.7
1.8K clien.dbf 1440- cust.dbf 2160 peopl.dbf systa.dbf stock.dbf Avg	2048 2048 1539	739 770 849 384 499 648	44.4 37.6 41.5 25.0 30.0 36.1	768 845 385 499	44.2 37.5 41.3 25.0 30.0 36.0	988 1085 559 652	57.6 48.2 53.0 36.3 39.2 47.3
3K conte.dbf 2400-custo.dbf 3600 inven.dbf hal3k.dbf 3k_1.dbf Avg	2666 2371 3268	826 1195 702 1287 849 972	29.6	1189 702 1280 836	44.6 29.6 39.2 26.1	1055 1430 841 1499 1018 1169	45.8 53.6 35.5 45.9 31.8 42.3
5K goood.dbf 4K- names.dbf 6K sysco.dbf dba4.dbf ha5k.dbf Avg	4096 5586 4969	984 1938 808 1377 1933 1408	14.5 27.7	1929 809 1381 1921	47.1 14.5 27.8 36.3	1277 2166 1602 1683 2165 1779	24.9 52.9 28.7 33.9 40.9 35.3
8K syscl.dbf 6400- dba2.dbf 9600 8k_1.dbf hal8k.dbf 8k_2.dbf Avg	7842 8194 8260	1171 2088 1601 2901 1572 1867	26.6 19.5 35.1 19.2	1169 2071 1593 2895 1558 1857	19.4 35.0 19.0	1473 2445 1777 3172 1736 2121	18.8 31.2 21.7 38.4 21.2 26.3
13K emplo.dbf 10.4- dbal.dbf 15.6 offic.dbf	12639	3403 3158 3522	25.0	3359 3038 3500	24.0	3916 3552 3977	31.9 28.1 35.3

\*\* For various sizes of dBASE Output Files, Compressed and Archived

File	Size	dBASE	ARJ22	21A	LHA2	13	PK251	l
	h13k.dbf qual.dbf Avg		4409 1659 3230		4373 1651 3184	33.0 12.3 25.3	4730 1926 3620	38.7 14.3 28.8
20K 16K- 24k	ofil1.dbf	16274 20102 20258	4053 3953 4339 3233 6446 4405	24.3 21.6 16.0	4297 3212 6439	21.0 24.0 21.4 15.9 31.8 22.8	4439 4913 3445	24.0 27.3 24.4 17.0 34.5 25.4
40K 32K- 48K	ha40k.dbf	40240 40354	12198 12235 5928 5964 9081			30.6 30.6 14.8 14.9 22.7	13182 13181 6252 6352 9742	32.8 32.8 15.5 15.7 24.2
70K 56K- 84K		70192 70530	20647 20625 9795 9943 15253	29.4 29.4 13.9 14.1 21.7	9951	30.0 29.9 14.1 14.3 22.0	22058 22381 10427 10586 16363	31.4 31.9 14.8 15.0 23.3
120K 96K-		120190 120802	34692 34707 16471 16417 25572	28.9 28.9 13.6 13.6 21.2	16787 16695	29.6 29.7 13.9 13.8 21.7	37981 38057 17474 17434 27737	31.6 31.7 14.5 14.4 23.0
	h190.dbf -190k2.dbf 190k1.dbf Avg	191170	54549 25518 25516 35194	28.7 13.3 13.3 18.4	26123	29.5 13.7 13.7 18.9	59557 27274 27087 37973	31.3 14.3 14.2 19.9
	300k2.dbf -300k1.dbf Avg		39984	13.3	40939 40923 40931	13.6	42518 42462 42490	14.1 14.1 14.1
	500k2.dbf -500k1.dbf Avg		66134	13.2	67719 67808 67764	13.5	70223 70211 70217	14.0 14.0 14.0
640K	zipco.dbf -800k2.dbf 800k1.dbf Avg	804450 804450	104979	13.0 13.1	107826 107873	13.4 13.4	111587 111703	13.9 13.9

\*\* For various sizes of dBASE Output Files, Compressed and Archived

File Siz	e dBASE	ARJ221A	LHA213	PK251	
Total Ratio	5,937,002 100 %	1,051,036 17.7 %	1,069,782 18.0 %		

\*\* For various sizes of Image(Graphic) Files, Compressed and Archived

AI CIII	rveu								
File	Size In	mage	ARJ22	21A	LHA2]	L 3	PAK2	51	
400-	augus.svg bushh.svg pebbl.svg grchk.f compa Avg	494	111 107 122 326 219 177	22.5 21.7 24.7 54.4 47.6 34.8	107 122 326 219	22.5 21.7 24.7 54.4 47.6 34.8	107 131 380 295	23.3 21.7 26.5 63.4 64.1 40.6	
800-	freel.wpg mktbl grlgt.f pgcp.f pglab.f Avg	1210 1044 877 893 924 990	612 615 455 433 397 502	50.6 58.9 51.9 48.5 43.0 50.7	615 455 433 397	50.6 58.9 51.9 48.5 43.0 50.7	774 556 535 534	63.4 74.1 63.4 59.9 57.8 63.9	
1440-	free3.wpg -fhvst.wpg free5.wpg free6.wpg Avg	1916 1644	672 1030 689 741 783	47.3 53.8 41.9 45.8 47.5	1030 689 741	47.3 53.8 41.9 45.8 47.5	1419 1000 1040	61.3 74.1 60.8 64.3 65.6	
	snow.rf -patti.shp headc. metal fjamm.wpg grap2.wpg Avg	2842 2536 2412	1269 676 1304 1324 1142 1126 1140	27.8 45.9 52.2 47.3	1304 1324 1142 1124	27.8 45.9 52.2 47.3 31.6	1535 1483	43.9 39.8 54.0 58.5 64.7 43.3 49.9	
5K 4K- 6K	verti.vrs fonti.shp haal.dwg colo garfi.iml grope.f Avg	4096 4368 5860	1363 1630 1518 2435 2275 1666 1815	27.6 39.8 34.8 41.6 45.9 36.7 37.9	1627 1505 2436 2275 1666		1937 1959 2678 2575 1913	37.0 47.3 44.8 45.7 51.9 42.2 44.8	
6400-	e3830.dwg - etbl imdri.f sunvi.sha syn.me teapo Avg	8379 8942	2336 3199 2682 2830 2473 2705 2704	30.0 36.8 27.0 32.9	3201 2676 2832 2463	27.6 38.2 29.9 36.9 26.9 32.6 31.9	3516 3058 3128 2764 3079	34.2 42.0 34.2 40.7 30.2 37.4 36.3	

\*\* For various sizes of Image(Graphic) Files, Compressed and Archived

File Siz	ze I	mage 	ARJ22	21A 	LHA21	13 	PAK25	51 
10.4-bea 15.6 ger ma		15200 12361 11264	2577 3410 3528 4694 4321 3706	22.4 28.5 41.7 36.1	2580 3342 3533 4684 4293 3686	22.0 28.6 41.6 35.8	3261 4061 4309 5580 4919 4426	23.8 26.7 34.9 49.5 41.0 34.3
24K ae bo	own.rf ark.rf ero.eps ord.shp sai.dwg Avg	20608	5541 8756 6209 7250 7625 7074	45.6 28.8 35.2 40.8	5450 8659 6243 7185 7584 7024	44.9 28.9 34.9 40.6	6379 9772 7021 8231 8630 8007	35.8 50.7 32.5 39.9 46.2 40.9
32K- bir 48K scr	olf.dat ds.rf ree.rf sql.sha ng8.rgb Avg	47865 38147 44976	6366 16217 2263 11727 3872 8089	33.9 5.9 26.1 12.6	6218 15919 2205 11888 3870 8020	33.3	12656 5275	16.3 36.8 8.6 28.1 17.2 22.2
56K <del>-</del> 84K k sh	ib.shp bv.sr ofg.sr now nip Avg	70400 84432 77089 82177 82174 79254	39370 63456 59117 29309 29839 44218	75.2 76.7 35.7 36.6	38899 63601 59088 29616 30166 44274	75.3 76.6	60110 31853 32408	58.9 76.4 78.0 38.8 39.4 58.1
144K p ler mov spa	ish.m18 peb.m18 nno.im1	111864 111864 129632 98563	24492 22129 22025 32916 36348 20063 26329	19.8 19.7 25.4 36.9 15.5	24171 21832 21701 31792 36781 19162 25907	19.5 19.5 24.5 37.3 14.8	27546 35356 39400	26.8 24.8 24.6 27.3 40.0 17.8 26.4
im imo	ly2.cbd ng5.rgb ng9.rgb	228799 223800 200427	13482 166283	5.9 74.3 60.5 38.2	25365 109041 169196 121977 67509 98618	47.7 75.6 60.9	174185 124726 70915	77.8 62.2 40.2
240K-img	13.rle	320174 243696 261981		52.8	98369 130106 128538			

\*\* For various sizes of Image(Graphic) Files, Compressed and Archived

File Size I	mage	ARJ22	21A	LHA2:	13	PAK25	51
1 2	362473 297081					146741 130067	
	526772	157291 159396 269599	29.9 33.8 63.5	156857 162441	29.8 34.4 64.7	171892 283949	31.7 36.4
800K bigk.scr 640K- ball.scr 960K beac.scr half.scr solin.sc Avg	742684 803894 961208	355815 485758 591612 754167	47.9 60.4 61.5 70.5	364433 493360 604234 775119	49.1 61.4 62.9 72.4	379561 562386	51.1 69.9 68.5 77.1
	3,651 100 %			4,758,2		5,207,2 51.9	

APPENDIX C. EXECUTION TIME COMPARISON

Sample Files	PKZIP	ARJ221A	LHA213	PAK251
inst.doc 4029 readm.txt 5594 grep2.exe 5934 touch.com 5118	123456	123456	123456	123456
sysco.dbf 5586 dba4.dbf 4969 verti.vrs 4945 haal.dwg 4368				
Total 40543	1.5	3.8	3.0	4.2
api.doc 15240 read3.doc 12006 mips.com 13312 share.exe 13424 dba1.dbf 12639 offic.dbf 11261 arch.data 13717				
Total 91599  chara.doc 42223 parts.hlp 33583  dcm.exe 45212 copy.exe 42398 h40k.dbf 40240 40k_1.dbf 40354 birds.rf 47865	3.1	4.7	3.6	4.4
img8.rgb 30752 Total <b>322627</b>	10.0	10.7	8.6	10.5
tex.lib 131653 lin.lib 110682 ll3.exe 93399 ift.exe 111894 h120.dbf 120190 120k1.dbf 120802 augus.ml8 111864 movie 98563				
Total 899047	19.3	21.5	18.9	21.5
quatt.hlp 287589 mcad.exe 289664 check.exe 351232				

300k2.dbf 301762 300k1.dbf 301762 ad.eps 320174 img13.rle 243696 Total 2095879	44.1	1:01.6	52.0	1:01.5
tchel.tch 976250 pshel.exe 635552 tc.exe 887104 800k2.dbf 804450 800k1.dbf 804450 half.scr 961208				
solin.sc 1070111 Total <b>6139125</b>	1:57.4	3:20.9	2:41.7	2:33.2

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Thesis J9633 c.1

Jung
Data compression and archiving software implementation and their algorithm comparison.

